# **Good practice guide for NHS Complaints Handling**



# **Resolving complaints**

- Resolving a complaint is when both the organisation and the customer agree what action (if any) will be taken to provide full and final resolution for the customer, without making a decision about whether the complaint is upheld or not upheld.
- A complaint can be resolved at any stage of the complaints handling process.
- When a complaint is resolved, you do not normally need to continue looking into it or provide a response on all points of the complaint. However, you may wish to where there is evidence of a wider problem or potential for useful learning.

# **Equality and accessibility**

- All public sector organisations are required to make their complaints service accessible to relevant groups under equalities and mental health legislation.
- You can use our guidance on <u>complainants with vulnerabilities</u> that highlights potential vulnerabilities and provides examples of possible adjustments we can make to our own service. You can use these examples when considering accessibility to your local complaints process.

#### Supporting staff

- Ensure your organisation is supporting staff who are the subject of complaints by:
  - sharing the relevant parts of the complaint with the staff involved;
  - signposting staff to support and information about the complaints process;
  - keeping staff updated about the progress of the complaint in addition to keeping the complainant updated;
  - sharing the relevant parts of the complaint response with the staff involved;
  - and having an engagement policy in place that supports staff and users to engage positively with each other, and protects staff from behaviour which can have a negative impact. Please see our <u>good practice guidance for organisations on handling</u> <u>difficult situations</u> for more information.

## **Other tips**

- At the start of a stage 2 complaint, try to contact the complainant to confirm the points of complaint and desired outcome if they are not clear.
- If you need to extend the 20 working day investigation deadline, we recommend keeping the person making the complaint and any staff member/s involved updated at least once every 20 working days beyond the initial deadline.
- Explain to customers that you do not take complaints on social media but ensure to tell them how they can complain.
- Organisations should be careful not to operate a 'two-tier' complaint system with preferential treatment for some customers (for example MPs/MSPs). Please see <u>text in</u> <u>green under paragraph 62 in Part 2</u> for more information.