#### COMPLAINTS AND ENQUIRIES DETERMINED BY SUBJECT AND OUTCOME 2024-25

- Tab 1 Determined by Sector
- Tab 2 Scottish College Cases Determined
- Tab 3 Health Cases Determined
- Tab 4 Joint Health and Social Care Cases Determined
- Tab 5 Housing Association Cases Determined
- Tab 6 Local Authority Cases Determined
- Tab 7 Scottish Government and Devolved Administration Cases Determined
- Tab 8 Scottish Prison Cases Determined
- Tab 9 Scottish University Cases Determined
- Tab 10 Water Cases Determined
- Tab 11 Other Cases Determined
- Tab 12 Housing and Local Authority Cases Cases Determined Combined



**People Centred | Improvement Focused** 

## 1. CASES DETERMINED BY SECTOR

Authority Sector	Complaint	Enquiry	Total
Colleges	27	0	27
Health	1,739	2	1,741
Housing Associations	505	0	505
Joint Health and Social Care	276	1	277
Local Authority	1,641	4	1,645
Other	67	489	556
Prisons	303	1	304
Scottish Government and Devolved Administration	263	0	263
Universities	283	0	283
Water	104	0	104
Total	5,208	497	5,705

## 2. SCOTTISH COLLEGE CASES DETERMINED

Case Type	Stage	Outcome Group	Application / Admission / Interview / Enrolment / Induction	Assessing and Providing Learning Support (by support staff)	Assessment / Exams / Certification
Complaint	Advice	A&G - Complaint submissions - mature	0	0	0
		A&G - Complaint submissions - premature	0	0	0
		A&G - Enquiries	1	0	0
		Total	1	0	0
	Early Resolution	Discretion – Alternative action proposed	0	0	0
		Discretion – Good complaint handling	0	0	1
		Discretion – Insufficient benefit would be achieved by investigation	2	0	0
		Discretion – Referred back	0	1	0
		Subject matter not in jurisdiction	0	0	0
		Time limit (s 10)	0	0	0
		Total	2	1	1
Total			3	1	1

Course Management (including class cancellations)	Diversity and Equality (other than support for learning)	Environment / Resources	Health and Safety	Learning and Teaching	Not otherwise covered	Learning Support and Guidance (by curriculum staff)	Staff Conduct	Student Conduct
0	0	0	0	0	0	1	0	0
0	1	0	1	0	0	0	1	0
1	0	0	0	0	0	0	0	0
1	1	0	1	0	0	1	1	0
0	0	0	1	0	0	0	0	0
0	0	1	0	3	0	0	1	1
0	0	0	0	1	0	0	1	1
0	0	0	0	0	1	0	0	0
0	1	0	0	0	0	0	1	0
0	0	0	0	0	0	0	1	0
0	1	1	1	4	1	0	4	2
1	2	1	2	4	1	1	5	2

Subject Unknown	Total
0	1
0	3
3	5
3	9
0	1
0	7
0	5
0	2
0	2
0	1
0	18
3	27

#### 3. HEALTH CASES DETERMINED

Case Type	Stage	Outcome Group	Admission / discharge / transfer procedures	Adult Social Work Services (Highland NHS Only)	Appliances / equipment / premises	Appointments / Admissions (delay / cancellation / waiting lists)	Clinical treatment / diagnosis
Complaint	Advice	A&G - Complaint submissions - mature	(	1	0	9	56
		A&G - Complaint submissions - premature	(	0	0	15	78
		A&G - Enquiries	2	2 0	1	27	140
		Organisation not in jurisdiction	(	0	0	0	0
		Total	2	1	1	51	274
	Early Resolution	Cause and impact test not met (s 5 (3))	C	0	0	0	1
		Discretion – Alternative action proposed	1	0	0	2	32
		Discretion – Alternative route used or available	C	0	0	0	2
		Discretion – Good complaint handling	8	0	1	51	418
		Discretion – Insufficient benefit would be achieved by investigation	2	2 0	0	17	71
		Discretion – Referred back	(	0	0	12	27
		Discretion – Resolved - both parties satisfied with proposed outcome	(	0	0	5	13
		Member of the public test not met (s 5 (6))	(	0	0	0	0
		Organisation not in jurisdiction	(	0	0	0	0
		Premature	(	0	0	0	9
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	(	0	0	0	0
		Subject matter not in jurisdiction	C	0	0	0	0
		Time limit (s 10)	(	0	0	1	80
		Unable to proceed	2	2 0	0	8	42
		Total	13	0	1	96	695
	Investigation	Fully upheld	1	0	0	2	61
		Not duly made or withdrawn	C	0	0	0	3
		Not upheld	C	0	0	0	20
		Resolved	C	0	0	0	1
		Some upheld	1	0	0	0	30
		Total	2	2 0	0	2	115
	Total		17	1	2	149	1,084
Enquiry	Advice	Enquiry	C	0	0	0	0
		Total	C	0	0	0	0
	Total		C	0	0	0	0
Total			17	1	2	149	1,084

<sup>\*</sup>Includes prison health

Communication / staff attitude / dignity / confidentiality	Complaints handling	Continuing care	sending ambulance	Hotel services - food / laundry etc	Hygiene / cleanliness / infection control	Lists (incl difficulty registering and removal from lists)	Nurses / nursing care	Other	Out of jurisdiction	Policy / administration	Record keeping
16	1	0	Ţ	1	0	0		0	0		
19	1	0	0	0	0	5		2	0		
35	22	1	2	0	0	4	0	5	2	6	
0	0	0	0	0	0	0	-	0	4	0	_
70	24	1	2	1	0	9		7	6	19	7
1	0	0	0	0	0	0		1	0	1	0
3	0	0	ű	0	0	0		0	0		
2	1	0	0	0	0	0	-	0	0	3	-
72	7	1	4	2	1	8		2	1	23	
11	1	0	-	0	0	2		0	0	_	1
5	0	0	_	0	0	0		0	0		0
1	0	0	·	0	0	1	0	0	0	0	0
0	0	0	Ţ	0	0	0	-	0	0	1	0
0	0	0	ű	0	0	0	-	0	0	1	0
0	2	0	-	0	0	0	_	0	0	1	0
0	1	0	-	0	0	0	-	0	0	0	0
4	0	1	0	0	0	0		1	0	0	1
4	8	0	-	0	0	1	2	0	0		0
10	3	2	-	0	0	0		1	0	-	0
113	23	4	5	2	1	12	12	5	1	47	9
2	3	0	_	0	0	1	9	0	0	_	
0	0	0	_	0	0	0	-	0	0	_	
0	0	0	Ţ	0	0	0	-	0	0	-	
0	0	0	0	0	0	0		0	0	_	-
0	1	1	0	0	0	0		0	0		
2	4	1	0	0	0	1	12	0	0	0	
185	51	6	7	3	1	22	26	12	7	66	17
0	0	0	0	0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	0	0	0	0	1
185	51	6	7	3	1	22	26	12	7	66	18

Subject unknown	Total
1	10tai 87
3	139
77	328
0	4
81	558
0	4
0	40
0	11
1	612
0	111
0	46
0	21
0	1
0	1
0	12
0	1
0	7
0	100
1	74
2	1,041
0	79
0	3
0	20
0	1
0	37
0	140
83	1,739
1	2
1	2
1	2
84	1,741

#### 4. JOINT HEALTH AND SOCIAL CARE CASES DETERMINED

Case Type Complaint	Stage Advice	Outcome Group  A&G - Complaint submissions - mature  A&G - Complaint submissions - premature	Access to files and information	Adoption / fostering	Adult support and protection / adults with incapacity	Appliances / equipment / premises
		A&G - Enquiries	0	0	0	1
		Total	0	0	4	1
	Early Resolution	Cause and impact test not met (s 5 (3))	0	0	0	0
		Discretion – Alternative action proposed	0	0	0	0
		Discretion – Alternative route used or available	1	0	0	0
		Discretion – Good complaint handling	0	1	13	0
		Discretion – Insufficient benefit would be achieved by investigation	0	0	2	0
		Discretion – Referred back	0	0	0	0
		Discretion – Resolved - both parties satisfied with proposed outcome	0	0	0	0
		Premature	0	0	0	0
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	0	0	0	0
		Subject matter not in jurisdiction	0	0	0	0
		Time limit (s 10)	0	0	0	0
		Unable to proceed	0	0	ŭ	0
		Total	1	1	15	0
	Investigation	Fully upheld	0	0	1	0
		Not duly made or withdrawn	0	0	0	0
		Not upheld	0	0	0	0
		Resolved	0	0	0	0
		Some upheld	0	0	1	0
		Total	0	0	2	0
	Total		1	1	21	1
Enquiry	Advice	Enquiry	0	0	ū	0
		Total	0	0	-	0
	Total		0	0	0	0
Total			1	1	21	1

Appointments / Admissions (delay / cancellation / waiting lists)	Assessments / self-directed support	Care charges for homecare and residential care	Carer's assessments	Child protection	Child services and family support	Clinical treatment / Diagnosis	Communication / staff attitude / dignity / confidentiality	Community Mental health services	Complaints handling	Continuing care
1	3	1	0	0	1	3		1	2	0
1	0	2	0	0	1	13	6	0	0	0
1	0	0	0	0	0	11	2	0	0	0
3	3	3	0	0	2	27	11	1	2	0
0	0	0	0	0	0	0	-	0	0	0
0	0	0	0	0	1	2	1	0	0	0
0	-	0	0	0	0	0		-	0	0
2	18	7	4	0	7	31	16	0	0	0
1	4	1	1	0	0	2		0	0	0
0	1	0	0	0	0	2	1	2	0	0
0	0	0	0	0	0	3	0	0	0	0
0	0	0	0	0		0	_	0	0	0
0	0	0	0	0	1	0		0	0	0
0	0	0	ŭ	0	1	0		0	0	0
0	1	0	2	0	1	2		0	0	0
1	4	1	0	0		2		0	0	1
4	28	9	7	0	12	44	26	2	0	1
0	0	0	0	0	1	3	1	0	1	0
0	0	0	0	0	0	0	0	1	0	0
0	0	0	0	1	0	0	0	0	0	0
0	0	0	0	0	0	0			0	0
0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	1	1	3	1	1	1	0
7	31	12	7	1	15	74	38	4	3	1
0		ū	0	0	0	1	0		0	0
0	0	0	0	0	0	1	0	0	0	0
0	0		0	0	0	1	0	0	0	0
7	31	12	7	1	15	75	38	4	3	1

Criminal justice		Hotel services food / laundry etc	Kinship care	Nurses / Nursing Care	Occupational therapy / assessment for equipment / adaptations	Other	Policy / administration	Sheltered housing / residential issues	Standard of care	Subject Unknown
0				0		1	0	0		
0	0	0	0	0	0	0	1	0	1	0
0	0	0	0	0	0	0	0	0	0	3
0	0	0	0	0	0	1	1	0	1	6
0	0	0	0	0	0	0	3	0	0	0
0	0	0	0	0	0	0	0	0	1	0
0	0	0	0	0		0	1	0	0	0
2	4	0	0	2		1	7	1	3	0
0	0	1	0	0		0	2	0	0	0
0	0	0	0	0		0	2	0	1	0
0	0	0	0	0	0	0	1	0	0	0
0	0	0	0	0	1	0	1	0	1	0
0	0			0		0	0	0	0	0
0	0	0	0	0	0	0	1	0	0	0
1	0	0	0	0	1	0	2	0	0	0
0	0	0	0	0	0	0	0	0	1	0
3	4	1	0	2	9	1	20	1	7	0
0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	0	0
0	0	0	0	0		0	0	0	0	0
0	0	0	1	0		0	0	0	0	0
0	0	1	0	0	0	0	0	0	0	0
0	0	1	1	0	~	0	-	0	0	0
3	4	2	1	2	-	2	21	1	8	6
0	0	·		0		0	0	0	0	0
0	0		-	0	~	0	0	0	0	0
0	0	-		0		0	0	0	0	0
3	4	2	1	2	9	2	21	1	8	6

Total	
	19
	29
	18
	66
	3
	5
	4
	125
	19
	9
	4
	3
	1
	2
	11
	12
	198
	7
	1
	1
	1
	2
	12
	276
	1
	1
	1
	277

#### **5. HOUSING ASSOCIATION CASES DETERMINED**

Case Type	Stage	Outcome Group	Aids and adaptations	Applications / allocations / transfers / exchanges
Complaint	Advice	A&G - Complaint submissions - mature	0	2
		A&G - Complaint submissions - premature	0	1
		A&G - Enquiries	1	1
		Organisation not in jurisdiction	0	0
		Total	1	4
	Early Resolution	Cause and impact test not met (s 5 (3))	0	0
		Discretion – Alternative action proposed	0	0
		Discretion – Alternative route used or available	0	0
		Discretion – Good complaint handling	1	9
		Discretion – Insufficient benefit would be achieved by investigation	0	3
		Discretion – Referred back	0	0
		Discretion – Resolved - both parties satisfied with proposed outcome	1	0
		Premature	0	0
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	0	0
		Subject matter not in jurisdiction	0	0
		Time limit (s 10)	1	0
		Unable to proceed	1	0
		Total	4	12
	Investigation	Fully upheld	0	0
		Total	0	0
	Total		5	16
Total			5	16

Communication / staff attitude / dignity / confidentiality	Complaints handling	Estate management / open spaces / environment work	Homeless person issues	Improvements and renovation	Mould / damp	Neighbour disputes and anti-social behaviour	Other	Out of jurisdiction
2	0	3	0	0	6	8	0	0
4	0	3	1	1	3	20	2	2
4	7	2	0	1	10	12	1	0
0	0		0	0	0	-	•	0
10	7	8	1	2	19	40	4	2
0	0	0	0	0	0		0	0
1	1	0		0	J		0	0
1	0	0	0	0				0
9	3	3	0	1	8			0
2	1	0	0	2	2	6	0	0
0	0	0	0	0		2	0	0
0	0			0	0		0	0
1	0	•		0	_		0	0
1	0	0	0	0	Ţ			0
0	0	1	0	0	0	0	0	0
0	0	0	0	0	1	1	0	0
0	0	Ŭ	0	0	2	0	0	0
15	5		0	3	14	35	4	0
0	0			0	0	1	0	0
0	0		0	0	0	1	0	0
25	12		1	5	33			2
25	12	12	1	5	33	76	8	2

Policy /	Rent and/or service	Repairs and maintenance	Dight to Duy	Shared ownership	Sheltered housing and community care	Subject unknown	Terminations of tenancy	Total
0	charges 2		Right to Buy	Ownership	Care		_	49
6			0	1	1	0		67
4	3		0	0	1	24	0	113
1	0		0	0	0	0	0	2
11	7		0	1	2	24		231
1	0		0	0	0	0		1
0	1	2	0	0	0	0		6
0	0		0	0	0	0		1
12	4		1	0	0	1	3	166
7	0		0	0	0	0		44
1	0	7	0	0	0	0		11
1	0	3	0	0	0	0	0	5
0	0		0	0	0	0	0	4
0	0	3	0	0	0	0	0	4
2	6	2	0	0	0	0	0	11
3	0	4	0	0	0	0	0	10
0	0	7	0	0	0	0	0	10
27	11	132	1	0	0	1	5	273
0	0	0	0	0	0	0	0	1
0	0	0	0	0	0	0	0	1
38	18	218	1	1	2	25	7	505
38	18	218	1	1	2	25	7	505

#### 6. LOCAL AUTHORITY CASES DETERMINED

Case Type	Stage	Outcome Group	Building Control	Consumer Protection	Economic Development	Education
Complaint	Advice	A&G - Complaint submissions - mature	0	0	0	12
		A&G - Complaint submissions - premature	3	0	0	18
		A&G - Enquiries	0	0	1	30
		Unable to proceed	0	0	0	0
		Total	3	0	1	60
	Early Resolution	Cause and impact test not met (s 5 (3))	0	1	0	8
		Discretion – Alternative action proposed	0	0	0	5
		Discretion – Alternative route used or available	0	0	0	5
		Discretion – Good complaint handling	5	1	1	82
		Discretion – Insufficient benefit would be achieved by investigation	4	0	1	19
		Discretion – Referred back	1	0	0	14
		Discretion – Resolved - both parties satisfied with proposed outcome	0	0	0	5
		Member of the public test not met (s 5 (6))	0	0	0	1
		Premature	0	0	0	0
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	0	0	0	3
		Subject matter not in jurisdiction	0	0	0	12
		Time limit (s 10)	0	0	0	2
		Unable to proceed	0	0	0	8
		Total	10	2	2	164
	Investigation	Fully upheld	0	0	0	3
		Not duly made or withdrawn	0	0	0	0
		Not upheld	0	0	0	0
		Some upheld	0	0	0	1
		Total	0	0	0	4
	Total		13	2	3	228
Enquiry	Advice	Enquiry	0	0	0	1
		Total	0	0	0	1
	Total		0	0	0	1
Total			13	2	3	229

Environmental Health & Cleansing	Finance	Fire & Police Boards	Housing	Land and Property	Legal and Admin	National Park Authorities	Other	Personnel	Planning	Recreation & Leisure
4	5	1	38	3	6	_	1	0	4	2
6	19	0	63	2	16		-	0	-	2
13	11	0	103	4	21			0		3
0	0	0	1	0	0	_	_	0	-	0
23	35	1	205	9	43	0		0	25	7
1	0	0	1	1	2	0	_	0	-	1
2	2	0	5	0	1	0		0	1	0
0	1	0	0	0	0	-	_	0		0
42	47	2	154	10	23		2	0		12
16	10	0	47	4	15			0	27	5
3	2	0	10	1	2	0	2	0	0	2
0	0	0	6	0	0	0	0	0	0	0
0	0	0	0	0	4	0	1	0	1	0
0	0	0	5	0	0	0	0	0	1	1
1	5	0	0	1	1	1	0	0	2	0
1	3	0	1	0	3	0	1	1	2	2
6	0	0	17	1	1	0	0	0	5	1
1	0	0	10	1	0	-	0	0	-	1
73	70	2	256	19	52	3	9	1	112	25
0	1	0	3	1	0	0	0	0	2	0
0	0	0	1	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0	1	0
1	0	0	1	0	0	0	0	0	0	0
1	1	0	5	1	0		0	0	3	0
97	106	3	466	29	95	3	12	1	140	32
0	0	0	0	0	1	0	0	0	0	0
0	0	0	0	0	1	0	0	0	0	0
0	0	0	0	0	1	0	0	0	0	0
97	106	3	466	29	96	3	12	1	140	32

		Subject				
		unknown or		Welfare Fund -		
Roads and		Out of	Valuation Joint		Welfare Fund -	
Transport	Social Work	Jurisdiction	Boards	Care Grants	Crisis Grants	Total
6	11	4	0	1	0	98
21	23	8	0	0	0	186
28	44	61	1	0	0	338
0	0	0	0	0	0	1
55	78	73	1	1	0	623
3	0	0	0	0	0	26
1	0	0	0	0	0	17
0	4	0	0	0	0	11
53	52	0	0	1	0	554
17	16	0	1	0	1	184
6	1	0	0	0	0	44
0	2	0	0	0	0	13
1	1	0	0	0	0	9
0	3	0	0	0	0	10
10	1	0	4	0	0	29
0	1	0	0	0	0	27
3	6	0	0	0	0	42
2	6	0	0	0	0	30
96	93	0	5	1	1	996
0	3	0	0	0	0	13
0	0	0	0	0	0	1
0	1	0	0	0	0	2
0	3	0	0	0	0	6
0	7	0	0	0	0	22
151	178	73	6	2	1	1,641
0	2	0	0	0	0	4
0	2	0	0	0	0	4
0	2	0	0	0	0	4
151	180	73	6	2	1	1,645

## 7. SCOTTISH GOVERNMENT AND DEVOLVED ADMINISTRATION CASES DETERMINED

				Cross-Border Pu	ıblic Authority
				Non-Prison	
Case Type	Stage	Outcome Group	Agriculture / environment / fishing / rural affairs	Financial matters	Justice
Complaint	Advice	A&G - Complaint submissions - mature	0	0	0
		A&G - Complaint submissions - premature	0	1	0
		A&G - Enquiries	0	0	1
		Total	0	1	1
	Early Resolution	Cause and impact test not met (s 5 (3))	0	0	0
		Discretion – Alternative action proposed	0	0	0
		Discretion – Alternative route used or available	0	0	0
		Discretion – Good complaint handling	3	0	0
		Discretion – Insufficient benefit would be achieved by investigation	0	0	0
		Discretion – Referred back	0	0	0
		Discretion – Resolved - both parties satisfied with proposed outcome	0	0	0
		Member of the public test not met (s 5 (6))	0	0	0
		Organisation not in jurisdiction	0	0	0
		Premature	0	0	0
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	0	0	0
		Subject matter not in jurisdiction	0	0	0
		Time limit (s 10)	0	0	0
		Unable to proceed	0	0	0
		Total	3	0	0
	Total		3	1	1
Total			3	1	1

	Scottish Government and Scottish Admir									
							Non-Prison			
	Agriculture / environment / fishing / rural	heritage / leisure / sport		Courts	Financial					
Total	affairs	/ tourism	health	administration	matters	Governance	Housing	Justice		
0		1	0	5	5	0	1	(		
1	0	ű	2	4	11	0	0	7		
1	0	0	0	9	11	0	0			
2	1	1	2	18	27	0	1	8		
0	· · ·	, , ,	0	0	0	0	0	(		
0	, and the second	_	0	ŭ	4	1	0	(		
0	0	0	0	·	2	0	0			
3	3	1	1	10	25	0	1	4		
0	0	0	0	2	3	1	0	2		
0	0	0	0	0	5	0	0	(		
0	0	0	0	0	2	0	0	(		
0	0	0	0	0	0	0	0	(		
0	0	0	0	0	0	0	0	(		
0	0	0	0	0	2	0	0	(		
0	1	0	0	0	6	0	1	(		
0	0	1	0	11	1	0	0	-		
0	1	0	0	0	2	0	1	2		
0	0	0	0	0	1	0	0	(		
3	5	2	1	23	53	2	3	10		
5	6	3	3	41	80	2	4	24		
5	6	3	3	41	80	2	4	24		

nistration

				Subject		Agriculture /	Arts / culture /	
				unknown or		environment /	heritage /	
			Roads and	Out of		fishing / rural	leisure / sport	Care and
Other	Planning	Records	transport	Jurisdiction	Total	affairs	/ tourism	health
1	1	1	0	1	17	0	0	0
2	0	0	2	2	30	0	0	0
0	0	0	0	6	27	1	1	3
3	1	1	2	9	74	1	1	3
0	0	0	1	0	1	0	0	1
0	0	0	0	0	5	0	0	0
0	0	0	0	0	3	0	0	0
1	1	1	1	0	49	3	2	4
0	1	2	1	0	12	0	0	1
0	0	0	0	0	5	0	0	0
0	0	0	0	0	2	0	0	0
0	0	0	0	0	0	1	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	2	0	0	0
0	0	0	0	0	8	0	0	0
1	0	0	0	0	21	0	1	0
0	0	0	0	0	6	1	0	1
0	0	0	0	0	1	0	0	0
2	2	3	3	0	115	5	3	7
5	3	4	5	9	189	6	4	10
5	3	4	5	9	189	6	4	10

# **Scottish Public Authority**

	Non-P	rison						
Commissioners and Ombudsmen	Education	Enterprise bodies	Financial matters	Justice	Other	Subject unknown or Out of Jurisdiction	Total	Grand Total
1	1	0	0	0	0	0	2	19
2	1	2	2	0	2	0	9	40
1	0	0	0	3	0	4	13	41
4	2	2	2	3	2	4	24	100
0	0	0	0	0	0	0	1	2
0	0	0	0	0	0	0	0	5
0	0	1	0	0	0	0	1	4
12	2	0	2	0	3	0	28	80
2	1	0	1	0	0	0	5	17
1	1	0	0	0	0	0	2	7
1	0	0	0	0	0	0	1	3
0	0	0	1	0	0	0	2	2
0	0	0	1	0	0	0	1	1
0	0	0	0	0	0	0	0	2
0	0	0	0	0	0	0	0	8
0	0	0	0	1	0	0	2	23
0	0	0	0	0	0	0	2	8
0	0	0	0	0	0	0	0	1
16	4	1	5	1	3	0	45	163
20	6	3	7	4	5	4	69	263
20	6	3	7	4	5	4	69	263

#### 8. SCOTTISH PRISON SERVICE CASES DETERMINED

Case Type	Stage	Outcome Group	Admission / transfers / discharge	Communication and records	Discipline
Complaint	Advice	A&G - Complaint submissions - mature	1	0	2
		A&G - Complaint submissions - premature	2	5	2
		A&G - Enquiries	11	4	6
		Total	14	9	10
	Early Resolution	Cause and impact test not met (s 5 (3))	0	0	0
		Discretion – Alternative action proposed	0	0	1
		Discretion – Alternative route used or available	0	1	0
		Discretion – Good complaint handling	3	12	7
		Discretion – Insufficient benefit would be achieved by investigation	2	3	5
		Discretion – Referred back	0	3	0
		Discretion – Resolved - both parties satisfied with proposed outcome	1	0	0
		Premature	0	0	0
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	0	0	0
		Time limit (s 10)	0	1	0
		Unable to proceed	0	0	1
		Total	6	20	14
	Investigation	Fully upheld	0	0	0
		Total	0	0	0
	Total	•	20	29	24
Enquiry	Advice	Enquiry	0	0	0
		Total	0	0	0
	Total		0	0	0
Total			20	29	24

Health / Welfare / Religion	Leave from Prison (Including Home Detention Leave)	Other	Physical and personal environment	Privileges and prisoners property	Security / control / progression	Subject unknown or Out of Jurisdiction	Work / education / earnings / recreation	Total
2	0	0	1	0	1	0	0	7
14	1	1	6	6	3	4	2	46
11	3	1	6	5	6	48	2	103
27	4	2	13	11	10	52	4	156
0	1	0	0	0	1	0	0	2
1	0	0		0	1	0	0	3
0	0	0	0	0	0	0	0	1
10	2	0		14	16	0	4	76
6	1	0		4	3	0	1	35
3	0	0		1	2	0	2	11
0	0	0	0	1	1	0	0	3
2	0	0	1	2	1	0	0	6
0	0	0	0	0	1	0	0	1
0	0	0	0	0	0	0	0	1
2	0	0	1	1	1	0	1	7
24	4	0	20	23	27	0	8	146
0	0	0	0	1	0	0	0	1
0	0	0	0	1	0	0	0	1
51	8	2	33	35	37	52	12	303
0	0	0	0	0	0	1	0	1
0	0	0	0	0	0	1	0	1
0	0	0	0	0	0	1	0	1
51	8	2	33	35	37	53	12	304

## 9. SCOTTISH UNIVERSITY CASES DETERMINED

Coop Torre	Otama	Outoons One	Academic appeal / exam results / degree	A
Case Type	Stage	Outcome Group	classification	Accommodation
Complaint	Advice	A&G - Complaint submissions - mature	16	0
		A&G - Complaint submissions - premature	8	0
		A&G - Enquiries	7	0
		Total	31	0
	Early Resolution	Discretion – Good complaint handling	80	1
		Discretion – Insufficient benefit would be achieved by investigation	38	2
		Discretion – Referred back	0	0
		Discretion – Resolved - both parties satisfied with proposed outcome	2	0
		Premature	0	0
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	0	0
		Subject matter not in jurisdiction	3	0
		Time limit (s 10)	6	0
		Unable to proceed	1	1
		Total	130	4
	Total		161	4
Total			161	4

Admissions	Communication / staff attitude / dignity / confidentiality	Complaints handling	Grants / allowances / bursaries	Inappropriate staff / student relationship	Other	Personnel matters	Plagiarism and intellectual property	Policy / administration
0	0	0	0	0	1	0	0	5
1	1	0	0	0	1	0	0	12
2	4	1	0	0	1	1	0	3
3	5	1	0	0	3	1	0	20
2	11	4	1	1	1	0	1	11
1	3	1	0	0	1	0	0	6
0	0	0	0	0	0	0	0	1
1	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	1	0	0	0	0	0	1
1	2	1	0	0	0	0	0	3
2	0	0	0	0	1	0	0	2
0	0	0	0	0	0	0	0	0
7	16	7	1	1	3	0	1	24
10	21	8	1	1	6	1	1	44
10	21	8	1	1	6	1	1	44

Special needs - assessment and provision	Student discipline	Teaching and supervision	Welfare	Total
0	0	1	0	23
0	0	2	0	25
1	1	0	1	22
1	1	3	1	70
1	1	2	1	118
1	1	1	0	55
0	0	0	0	1
0	0	0	0	3
0	0	1	0	1
0	0	0	0	2
1	0	2	0	13
0	0	4	0	15
0	0	2	1	5
3	2	12	2	213
4	3	15	3	283
4	3	15	3	283

#### **10. WATER CASES DETERMINED**

Case Type	Stage	Outcome Group	Billing and charging	Customer service
Complaint	Advice	Advice A&G - Complaint submissions - mature		•
		A&G - Complaint submissions - premature	18	(
		A&G - Enquiries	23	3
		Total	47	4
	Early Resolution	Early Resolution Discretion – Alternative action proposed		(
		Discretion – Good complaint handling	10	,
		Discretion – Insufficient benefit would be achieved by investigation	13	,
		Discretion – Referred back	1	(
		Discretion – Resolved - both parties satisfied with proposed outcome	4	(
		Premature	1	(
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	1	(
		Time limit (s 10)	1	(
		Unable to proceed	1	(
		Total	32	- 2
	Total	79	(	
Total Contac	ts		79	(

	Subject unknown or			
Environmental				
Concerns	Jurisdiction	Waste Water	Water Supply	Total
0	0	0	1	8
0	0	2	1	21
1	1	1	0	29
1	1	3	2	58
0	0	0	1	1
1	0	1	5	18
0	0	2	1	17
0	0	0	0	1
0	0	0	0	4
0	0	0	0	1
0	0	0	0	1
0	0	0	0	1
0	0	0	1	2
1	0	3	8	46
2	1	6	10	104
2	1	6	10	104

## 11. OTHER CASES DETERMINED

Case Type	Stage	Outcome Group	General Enquiry	Subject Unknown or Out of Jurisdiction		
			From Member of the Public	Out of Jurisdiction	Subject Unknown	Total
Complaint	Advice	A&G - Complaint submissions - premature	0	0	4	4
		A&G - Enquiries	1	1	33	35
		Organisation not in jurisdiction	0	19	6	25
		Total	1	20	43	64
	Early Resolution	Organisation not in jurisdiction	0	2	0	2
		Unable to proceed	0	0	1	1
		Total	0	2	1	3
	Total		1	22	44	67
Enquiry	Advice	Enquiry	0	288	201	489
		Total	0	288	201	489
	Total		0	288	201	489
Total		1	310	245	556	

## 12. HOUSING ASSOCIATION AND LOCAL AUTHORITY HOUSING CASES DETERMINED - COMBINED

Case Type	Stage	Outcome Group	Housing Associations	Local Authority	Total
Complaint	Advice	A&G - Complaint submissions - mature	49	38	87
		A&G - Complaint submissions - premature	67	63	130
		A&G - Enquiries	113	103	216
		Organisation not in jurisdiction	2	0	2
		Unable to proceed	0	1	1
		Total	231	205	436
	Early Resolution	Cause and impact test not met (s 5 (3))	1	1	2
		Discretion – Alternative action proposed	6	5	11
		Discretion – Alternative route used or available	1	0	1
		Discretion – Good complaint handling	166	154	320
		Discretion – Insufficient benefit would be achieved by investigation	44	47	91
		Discretion – Referred back	11	10	21
		Discretion – Resolved - both parties satisfied with proposed outcome	5	6	11
		Premature	4	5	9
		Right of appeal to court/tribunal/Scottish ministers (s 7 (8))	4	0	4
		Subject matter not in jurisdiction	11	1	12
		Time limit (s 10)	10	17	27
		Unable to proceed	10	10	20
		Total	273	256	529
	Investigation	Fully upheld	1	3	4
		Not duly made or withdrawn	0	1	1
		Some upheld	0	1	1
		Total	1	5	6
	Total		505	466	971
Total			505	466	971

<sup>\*</sup>Complaints only