#### CLOSED BY AUTHORITY TABLES FOR SPSO ANNUAL REPORT STATISTICS 2023-24

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## 1. CASES DETERMINED BY SECTOR

| Authority Sector                              | Complaint | Enquiry | Total |
|---|-----------|---------|-------|
| Colleges                                      | 28        | 0       | 28    |
| Health  | 1,537     | 6       | 1,543 |
| Housing Associations                          | 480       | 2       | 482   |
| Joint Health and Social Care                  | 201       | 1       | 202   |
| Local Authority                               | 1,381     | 4       | 1,385 |
| Other   | 87        | 475     | 562   |
| Prisons                                       | 305       | 1       | 306   |
| Scottish Government & Devolved Administration | 252       | 0       | 252   |
| Universities                                  | 288       | 0       | 288   |
| Water   | 92        | 0       | 92    |
| Total   | 4,651     | 489     | 5,140 |

## 2. SCOTTISH COLLEGE CASES DETERMINED

| Stage            | Outcome Group                            | A College<br>Unknown | Ayrshire<br>College | Borders<br>College | City Of<br>Glasgow<br>College | Edinburgh<br>College |
|------------------|--|----------------------|---------------------|--------------------|-------------------------------|----------------------|
| Advice           | A&G - Complaint submissions - mature     | 0                    | 0                   | 0                  | 0                             | 1                    |
|                  | A&G - Complaint submissions - premature  | 1                    | 1                   | 0                  | 0                             | 2                    |
|                  | A&G - Enquiries                          | 1                    | 0                   | 0                  | 0                             | 0                    |
|                  | Total                                    | 2                    | 1                   | 0                  | 0                             | 3                    |
| Early Resolution | Discretion – Alternative action proposed | 0                    | 0                   | 0                  | 0                             | 0                    |
|                  | Discretion – Good complaint handling     | 0                    | 0                   | 1                  | 1                             | 1                    |
|                  | Premature                                | 0                    | 0                   | 0                  | 0                             | 0                    |
|                  | Subject matter not in jurisdiction       | 0                    | 1                   | 0                  | 0                             | 0                    |
|                  | Time limit (s 10)                        | 0                    | 0                   | 0                  | 0                             | 0                    |
|                  | Total                                    | 0                    | 1                   | 1                  | 1                             | 1                    |
| Total            |  | 2                    | 2                   | 1                  | 1                             | 4                    |

| Fife College | Forth Valley College of Further and Higher Education | Glasgow Clyde<br>College | Glasgow Kelvin<br>College | Newbattle<br>Abbey College | North East<br>Scotland<br>College | Perth College<br>UHI | West College<br>Scotland | Total |
|--------------|--|--------------------------|---------------------------|----------------------------|-----------------------------------|----------------------|--------------------------|-------|
| 0            | 0  | 0                        | 1                         | 0                          | 0                                 | 0                    | 0                        | 2     |
| 0            | 1  | 1                        | 0                         | 0                          | 0                                 | 1                    | 0                        | 7     |
| 0            | 1  | 0                        | 1                         | 0                          | 0                                 | 0                    | 0                        | 3     |
| 0            | 2  | 1                        | 2                         | 0                          | 0                                 | 1                    | 0                        | 12    |
| 0            | 0  | 0                        | 0                         | 0                          | 0                                 | 0                    | 1                        | 1     |
| 2            | 1  | 2                        | 1                         | 1                          | 0                                 | 0                    | 1                        | 11    |
| 1            | 0  | 0                        | 0                         | 0                          | 0                                 | 0                    | 0                        | 1     |
| 0            | 0  | 0                        | 0                         | 0                          | 1                                 | 0                    | 0                        | 2     |
| 0            | 0  | 0                        | 0                         | 1                          | 0                                 | 0                    | 0                        | 1     |
| 3            | 1  | 2                        | 1                         | 2                          | 1                                 | 0                    | 2                        | 16    |
| 3            | 3  | 3                        | 3                         | 2                          | 1                                 | 1                    | 2                        | 28    |

## 3. HEALTH CASES DETERMINED

## 3. HEALTH CASES DETERMINED

|                  |   | Dentists &                        | CD 9 CD              |                           |                                    |
|------------------|---|-----------------------------------|----------------------|---------------------------|------------------------------------|
|                  |   | Dental                            | GP & GP              |                           |                                    |
|                  |   | Practices                         | Practices            |                           |                                    |
| Stage            | Outcome Group   | Dentists &<br>Dental<br>Practices | GP & GP<br>Practices | A Health Board<br>Unknown | Ayrshire and<br>Arran NHS<br>Board |
| Advice           | A&G - Complaint submissions - mature                                | 8                                 | 20                   | 4                         | 2                                  |
|                  | A&G - Complaint submissions - premature                             | 18                                | 71                   | 25                        | 6                                  |
|                  | A&G - Enquiries   | 11                                | 49                   |                           | 14                                 |
|                  | Organisation not in jurisdiction                                    | 0                                 | 0                    | 0                         | 0                                  |
|                  | Unable to proceed   | 1                                 | 1                    | 0                         | 0                                  |
|                  | Total   | 38                                | 141                  | 45                        | 22                                 |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigatio | 11                                | 22                   | 0                         | 3                                  |
| •                | Discretion – Alternative action proposed                            | 0                                 | 7                    | 0                         | 0                                  |
|                  | Discretion – Alternative route used or availabl                     | 2                                 | 2                    | 0                         | 1                                  |
|                  | Discretion – Good complaint handling                                | 20                                | 119                  | 0                         | 18                                 |
|                  | Discretion – Referred back  | 2                                 | 6                    | 0                         | 2                                  |
|                  | Discretion – Resolved - both parties satisfied with proposed outcom | 2                                 | 1                    | 0                         | 1                                  |
|                  | No response to contact  | 0                                 | 0                    | 0                         | 1                                  |
|                  | Organisation not in jurisdiction                                    | 1                                 | 1                    | 0                         | 0                                  |
|                  | Premature   | 0                                 | 1                    | 0                         | 1                                  |
|                  | Right of appeal to court/tribunal/Scottish ministers (s 7 (8        | 0                                 | 0                    | 0                         | 0                                  |
|                  | Subject matter not in jurisdiction                                  | 2                                 | 3                    | 0                         | 0                                  |
|                  | Time limit (s 10)   | 1                                 | 5                    | 0                         | 3                                  |
|                  | Unable to proceed   | 4                                 | 6                    | 0                         | 2                                  |
|                  | Total   | 45                                | 173                  | 0                         | 32                                 |
| Investigation    | Fully uphelc  | 0                                 | 17                   | 0                         | 2                                  |
|                  | Not duly made or withdrawr  | 0                                 | 1                    | 0                         | 1                                  |
|                  | Not upheld  | 0                                 | 8                    | 0                         | 1                                  |
|                  | Outcome not achievable  | 0                                 | 0                    | 0                         | 0                                  |
|                  | Resolved  | 0                                 | 0                    | 0                         | 0                                  |
|                  | Some upheld   | 0                                 | 2                    | 0                         | 1                                  |
|                  | Total   | 0                                 | 28                   | 0                         | 5                                  |
| Total            |   | 83                                | 342                  | 45                        | 59                                 |

# **Health Board**

|             | Dumfries and |                |              |              | Greater<br>Glasgow and | Board        |             |             |
|-------------|--------------|----------------|--------------|--------------|------------------------|--------------|-------------|-------------|
| Borders NHS | Galloway NHS |                | Forth Valley | Grampian NHS | Clyde NHS              | Highland NHS | Lanarkshire | Lothian NHS |
| Board       | Board        | Fife NHS Board | NHS Board    | Board        | Board                  | Board        | NHS Board   | Board       |
| 1           | 3            | 3              | 2            | 2            | 8                      | 2            | 4           | 5           |
| 5           | 4            | 6              | 12           | 6            | 14                     | 7            | 7           | 24          |
| 3           | 2            | 7              | 14           | 7            | 18                     | 5            | 6           | 21          |
| 0           | 0            |                | 0            | 0            | 0                      | 0            | 0           | 0           |
| 0           | 0            |                | 0            | 0            | 0                      | 0            | 0           | 0           |
| 9           | 9            |                | 28           | 15           | 40                     | 14           | 17          | 50          |
| 6           | 2            |                | 7            | 10           | 22                     | 12           | 4           | 9           |
| 0           | 0            |                | 1            | 0            | 5                      | 4            | 1           | 4           |
| 0           | 1            | 0              | 0            | 0            | 4                      | 0            | 0           | 2           |
| 11          | 13           |                | 24           | 19           | 79                     | 19           |             | 62          |
| 1           | 3            |                | 0            | 3            | 7                      | 1            | 2           | 1           |
| 0           | 1            | 1              | 0            | 0            | 5                      | 1            | 3           | 1           |
| 0           | 0            |                | 0            | 0            | 0                      | 0            | ,           | 0           |
| 0           | 0            |                | 0            | 0            | 0                      | 0            | 0           | 0           |
| 0           | 0            |                | 1            | 1            | 4                      | 0            | 1           | 2           |
| 0           | 0            |                | 0            | 0            | 0                      | 0            | ·           | 1           |
| 0           | 0            |                | 0            | 1            | 0                      | 0            | 0           | 0           |
| 2           | 0            |                | 5            | 3            | 16                     | 3            | 6           | 14          |
| 1           | 4            | 2              | 5            | 6            | 8                      | 5            | 5           | 13          |
| 21          | 24           |                | 43           | 43           | 150                    | 45           | 64          | 109         |
| 1           | 0            |                | 5            | 5            | 8                      | 2            | 6           | 6           |
| 0           | 0            |                | 0            | 0            | 1                      | 0            | 0           | 0           |
| 0           | 0            |                | 2            | 1            | 8                      | 4            | 5           | 5           |
| 0           | 0            |                | 0            | 1            | 0                      | 0            | 0           | 0           |
| 0           | 0            |                | 0            | 1            | 0                      | 0            | 0           | 0           |
| 0           | 0            |                | 0            | 3            | 5                      | 1            | 2           | 9           |
| 1           | 0            |                |              | 11           | 22                     | /            | 13          |             |
| 31          | 33           | 62             | 78           | 69           | 212                    | 66           | 94          | 179         |

|                     |                           |                       |                      |                            | Opticians | Pharmacists &<br>Pharmacy<br>Services |  |                                       |
|---------------------|---------------------------|-----------------------|----------------------|----------------------------|-----------|---------------------------------------|--|---------------------------------------|
| Orkney NHS<br>Board | Public Health<br>Scotland | Shetland NHS<br>Board | Tayside NHS<br>Board | Western Isles<br>NHS Board | Opticians | Pharmacists &<br>Pharmacy<br>Services | Golden Jubilee<br>National<br>Hospital | Healthcare<br>Improvement<br>Scotland |
| 0                   | 0                         | 1                     | 2                    | 0                          | 0         | 1                                     | 0                                      | 0                                     |
| 0                   | 1                         | 0                     | 13                   | 0                          | 0         | 2                                     | 0                                      | 0                                     |
| 0                   | 0                         | 0                     | 14                   | 0                          | 1         | 8                                     | 0                                      | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     | 0                                      | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 1                         | 1                     | 29                   | 0                          | 1         | 11                                    | 0                                      | 0                                     |
| 1                   | 0                         | 0                     | 12                   | 0                          | 0         | 0                                     | 0                                      | 0                                     |
| 0                   | 0                         | 0                     | 1                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 1                    | 0                          | 0         | 0                                     | 0                                      | 0                                     |
| 2                   | 0                         | 0                     | 28                   | 0                          | 0         | 1                                     | 6                                      | 0                                     |
| 0                   | 0                         | 0                     | 6                    | 0                          | 0         | 1                                     | 0                                      | 0                                     |
| 0                   | 0                         | 1                     | 1                    | 0                          | 0         | 0                                     | -                                      | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 2                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 1                   | 0                         | 1                     | 4                    | 0                          | 0         | 0                                     |  | 1                                     |
| 0                   | 0                         | 0                     | 3                    | 0                          | 0         | 0                                     |  | 0                                     |
| 4                   | 0                         | 2                     | 58                   | 0                          | 0         | 2                                     |  | 1                                     |
| 1                   | 0                         | 0                     | 8                    | 2                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 2                    | 1                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 0                    | 0                          | 0         | 0                                     |  | 0                                     |
| 0                   | 0                         | 0                     | 11                   | 4                          | 0         | 0                                     |  | 0                                     |
| 5                   | 1                         | 3                     | 98                   | •                          | 4         | 13                                    | •                                      | 1                                     |

| Special He | alth Board                           |                                  |   |       |
|------------|--------------------------------------|----------------------------------|---|-------|
| NHS 24     | NHS National<br>Services<br>Scotland | Scottish<br>Ambulance<br>Service | The State<br>Hospital Board<br>for Scotland | Total |
| 0          | 0                                    | 2                                | 0   | 70    |
| 0          | 1                                    | 3                                | 0   | 225   |
| 0          | 0                                    | 1                                | 1   | 198   |
| 0          | 0                                    | 1                                | 0   | 1     |
| 0          | 0                                    | 0                                | 0   | 3     |
| 0          | 1                                    | 7                                | 1   | 497   |
| 1          | 0                                    | 0                                | 0   | 126   |
| 0          | 0                                    | 2                                | 0   | 28    |
| 0          | 1                                    | 0                                | 0   | 14    |
| 6          | 2                                    | 13                               | 2   | 509   |
| 0          | 0                                    | 1                                | 0   | 36    |
| 0          | 0                                    | 2                                | 0   | 20    |
| 0          | 0                                    | 0                                | 0   | 1     |
| 0          | 0                                    | 0                                | 0   | 2     |
| 0          | 0                                    | 1                                | 0   | 14    |
| 0          | 0                                    | 0                                | 0   | 1     |
| 0          | 1                                    | 0                                | 0   | 7     |
| 0          | 0                                    | 0                                | 0   | 69    |
| 0          | 0                                    | 0                                | 0   | 64    |
| 7          | 4                                    | 19                               | 2   | 891   |
| 0          | 0                                    | 5                                | 0   | 77    |
| 0          | 0                                    | 0                                | 0   | 3     |
| 0          | 0                                    | 1                                | 0   | 40    |
| 0          | 0                                    | 0                                | 0   | 1     |
| 0          | 0                                    | 0                                | 0   | 1     |
| 0          | 0                                    | 0                                | 0   | 27    |
| 0          | 0                                    | 6                                | 0   | 149   |
| 7          | 5                                    | 32                               | 3   | 1,537 |

## 4. JOINT HEALTH & SOCIAL CARE CASES DETERMINED

| Stage            | Outcome Group  | Aberdeen City<br>Health and<br>Social Care<br>Partnership | Aberdeenshire<br>Health and<br>Social Care<br>Partnership | Angus Health<br>and Social Care<br>Partnership |
|------------------|--|---|---|--|
| Advice           | A&G - Complaint submissions - mature                                 | 0   | 0   | 0  |
|                  | A&G - Complaint submissions - premature                              | 0   | 0   | 0  |
|                  | A&G - Enquiries  | 0   | 0   | 0  |
|                  | Total  | 0   | 0   | 0  |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 1   | 0   | 0  |
|                  | Discretion – Alternative action proposed                             | 0   | 0   | 0  |
|                  | Discretion – Alternative route used or available                     | 0   | 0   | 0  |
|                  | Discretion – Good complaint handling                                 | 1   | 0   | 1  |
|                  | Discretion – Referred back   | 0   | 0   | 0  |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 1   | 0   | 0  |
|                  | Premature  | 0   | 0   | 0  |
|                  | Time limit (s 10)  | 1   | 1   | 0  |
|                  | Unable to proceed  | 0   | 0   | 0  |
|                  | Total  | 4   | 1   | 1  |
| Investigation    | Fully upheld   | 0   | 0   | 0  |
|                  | Not upheld   | 0   | 0   | 0  |
|                  | Some upheld  | 0   | 0   | 0  |
|                  | Total  | 0   | 0   | 0  |
| Total            |  | 4   | 1   | 1  |

| Argyll and Bute<br>Health and<br>Social Care<br>Partnership | Clackmannans<br>hire and<br>Stirling Health<br>and Social Care<br>Partnership | Dumfries and<br>Galloway<br>Health and<br>Social Care<br>Partnership | Dundee Health<br>and Social Care<br>Partnership | East Ayrshire<br>Health and<br>Social Care<br>Partnership | East<br>Dunbartonshire<br>Health and<br>Social Care<br>Partnership | East Lothian<br>Health and<br>Social Care<br>Partnership | East<br>Renfrewshire<br>Health and<br>Social Care<br>Partnership | Edinburgh<br>Health and<br>Social Care<br>Partnership |
|---|---|--|---|---|--|--|--|---|
| 3   | 0   | 0  | 0   | 0   | 0  | 0  | 0  | 1   |
| 0   | 0   | 0  | 0   | 2   | 0  | 0  | 0  | 2   |
| 0   | 0   | 0  | 0   | 0   | 0  | 0  | 0  | 0   |
| 3   | _   | 0  | 0   | 2   | 0  | 0  | 0  | 3   |
| 1   | 0   | 0  | 1   | 2   | 1  | 0  | 0  | 1   |
| 0   | 0   | 0  | 0   | 0   | 1  | 0  | 0  | 1   |
| 0   | 0   | 0  | 0   | 0   | 0  | 0  | 0  | 1   |
| 4   | 2   | 0  | 0   | 2   | 4  | 0  | 4  | 10  |
| 0   | 0   | 0  | 0   | 0   | 0  | 0  | 0  | 1   |
| 0   | 0   | 0  | 0   | 0   | 0  | 1  | 1  | 0   |
| 0   | 0   | 0  | 0   | 0   | 0  | 0  | 0  | 0   |
| 0   | 0   | 2  | 0   | 0   | 0  | 0  | 0  | 1   |
| 1   | 0   | 0  | 0   | 0   | 0  | 0  | 0  | 1   |
| 6   |   | 2  | 1   | 4   | 6  | 1  | 5  | 16  |
| 2   | 0   | 0  | 0   | 0   | 1  | 0  | 0  | 0   |
| 0   |   | 0  | 0   | 0   | 0  | 0  | 0  | 0   |
| 0   |   | 0  | 0   | 0   | 0  | 0  | 0  | 0   |
| 2   |   | 0  | 0   | 0   | 1  | 0  | 0  | 0   |
| 11  | 2   | 2  | 1   | 6   | 7  | 1  | 5  | 19  |

| Falkirk Health<br>and Social Care<br>Partnership | Fife Health and<br>Social Care<br>Partnership | Glasgow City<br>Health and<br>Social Care<br>Partnership | Health In Mind | Inverclyde<br>Health and<br>Social Care<br>Partnership | Midlothian<br>Health and<br>Social Care<br>Partnership | Moray Health<br>and Social Care<br>Partnership | North Ayrshire<br>Health and<br>Social Care<br>Partnership | North<br>Lanarkshire<br>Health and<br>Social Care<br>Partnership |
|--|---|--|----------------|--|--|--|--|--|
| 0  | 0   | •  | 0              | 1  | 1  | 0  | 0  | 0  |
| 0  |   | 12   | 1              | 0  | 2  | 1  | 0  | 0  |
| 1  | 0   |  | 0              | 0  | 1  | 0  | 0  | 0  |
| 1  | 1   | 24   | 1              | 1  | 4  | 1  | 0  | 0  |
| 1  | 1   | 8  | 0              | 0  | 0  | 0  | 1  | 0  |
| 0  | ·   |  | 0              | 1  | 1  | 0  | 0  | 0  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 5  | 1  | 1  | 2  | 2  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 1  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 1  | 1  | 0  | 1  | 0  |
| 1  | 5   |  | 0              | 7  | 3  | 1  | 4  | 3  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 0  |   |  | 0              | 0  | 0  | 0  | 0  | 0  |
| 2  | 6   | 72   | 1              | 8  | 7  | 2  | 4  | 3  |

| Orkney Health<br>and Social Care<br>Partnership | Perth and<br>Kinross Health<br>and Social Care<br>Partnership | Renfrewshire<br>Health and<br>Social Care<br>Partnership | Shetland Health<br>and Social Care<br>Partnership | _ | South Ayrshire<br>Health and<br>Social Care<br>Partnership | South Lanarkshire Health and Social Care Partnership | West Dunbartonshire Health and Social Care Partnership | Total |
|---|---|--|---|---|--|--|--|-------|
| 0   | 0   | . 1  | 0   | 0 | 0  | . 1  | . 0  | 9     |
| 0   | 0   | 2  | 0   | 0 | 0  | 0  | 1  | 24    |
| 0   | 0   | 0  | 0   | 0 | 0  | 1  | 1  | 15    |
| 0   | 0   | 3  | 0   | 0 | 0  | 2  | 2  | 48    |
| 0   | 0   | 1  | 0   | 0 | 0  | 0  | 0  | 19    |
| 0   | 0   | 0  | 0   | 0 | 0  | 0  | 0  | 7     |
| 0   | 0   | 0  | 0   | 0 | 0  | 0  | 0  | 2     |
| 0   | 1   | 4  | 2   | 2 | 2  | 6  | 4  | 85    |
| 0   | 0   | 1  | 0   | 0 | 0  | 1  | 1  | 5     |
| 0   | 0   | 0  | 0   | 0 | 0  | 0  | 0  | 4     |
| 0   | 0   | 0  | 0   | 0 | 1  | 0  | 0  | 2     |
| 0   | 0   | 0  | 0   | 0 | 0  | 0  | Ŭ  | 10    |
| 1   | 0   | 1  | 0   | 0 | 0  | 0  | 0  | 10    |
| 1   | 1   | 7  | 2   | 2 | 3  | 7  | 5  | 144   |
| 0   | 0   | 0  | 0   | 0 | 0  | 1  | 0  | 7     |
| 0   | 0   | 0  | 0   | 0 | 0  | 0  | 0  | 1     |
| 0   | 0   | 0  | 0   | 0 | 0  | 0  | Ŭ  | 1     |
| 0   | 0   | 0  | 0   | 0 | 0  | 1  | 0  | 9     |
| 1   | 1   | 10   | 2   | 2 | 3  | 10   | 7  | 201   |

#### 5. HOUSING ASSOCIATION CASES DETERMINED

| Stage            | Outcome Group  | A Housing<br>Association<br>Unknown | Aberdeenshire<br>Housing<br>Partnership | Abertay<br>Housing<br>Association Ltd | Albyn Housing<br>Society Ltd |   | Angus Housing<br>Association Ltd |   | Ayrshire<br>Housing |
|------------------|--|-------------------------------------|---|---------------------------------------|------------------------------|---|----------------------------------|---|---------------------|
| Advice           | A&G - Complaint submissions - mature                                 | 1                                   | 0                                       | 0                                     | 1                            | 0 | 0                                | 0 | 0                   |
|                  | A&G - Complaint submissions - premature                              | 17                                  | 1                                       | 0                                     | 0                            | 1 | 0                                | 0 | 0                   |
|                  | A&G - Enquiries  | 18                                  | 0                                       | 0                                     | 0                            | 1 | 0                                | 2 | 0                   |
|                  | Total  | 36                                  | 1                                       | 0                                     | 1                            | 2 | 0                                | 2 | 0                   |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 0                                   | 0                                       | 0                                     | 0                            | 1 | 1                                | 2 | 0                   |
|                  | Discretion – Alternative action proposed                             | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Discretion – Good complaint handling                                 | 0                                   | 0                                       | 0                                     | 3                            | 1 | 0                                | 1 | 1                   |
|                  | Discretion – Referred back   | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 0                                   | 0                                       | 1                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Organisation not in jurisdiction                                     | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Premature  | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Right of appeal to court/tribunal/Scottish ministers (s 7 (8))       | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Subject matter not in jurisdiction                                   | 0                                   | 0                                       | 1                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Time limit (s 10)  | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Unable to proceed  | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Total  | 0                                   | 0                                       | 2                                     | 3                            | 2 | 1                                | 3 | 1                   |
| Investigation    | Not upheld   | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
|                  | Total  | 0                                   | 0                                       | 0                                     | 0                            | 0 | 0                                | 0 | 0                   |
| Total            |  | 36                                  | 1                                       | 2                                     | 4                            | 4 | 1                                | 5 | 1                   |

| Berwickshire<br>Housing<br>Association Ltd | Bield Housing<br>& Care | Blackwood<br>Homes and<br>Care | Bridgewater<br>Housing<br>Association Ltd | Cadder<br>Housing<br>Association<br>Ltd | Cairn Housing<br>Association<br>Ltd | Caledonia<br>Housing<br>Association | Cassiltoun<br>Housing<br>Association Ltd | Castlehill<br>Housing<br>Association<br>Ltd | Cathcart and<br>District<br>Housing<br>Association<br>Ltd | Cloch Housing<br>Association Ltd | Clyde Valley<br>Housing<br>Association<br>Ltd | Clydebank<br>Housing<br>Association<br>Ltd |
|--|-------------------------|--------------------------------|---|---|-------------------------------------|-------------------------------------|--|---|---|----------------------------------|---|--|
| 1  | 0                       | 0                              | 0   | 1                                       | 1                                   | 1                                   | 0  | 0   | 0   | 0                                | 2   | 0  |
| 3  | 2                       | 2                              | 0   | 0                                       |                                     | 2                                   | 2  | 0   | 1   | 0                                | 1   | 0  |
| 1  | 0                       | 1                              | 0   | 0                                       | 1                                   | 0                                   | 0  | 0   | 1   | 0                                | 1   | 1  |
| 5  | 2                       | 3                              | 0   | 1                                       | 3                                   | 3                                   | 2  | 0   | 2   | 0                                | 4   | 1  |
| 0  | 0                       | 1                              | 0   | 0                                       | 0                                   | 1                                   | 0  | 0   | 1   | 0                                | 2   | 0  |
| 0  | 1                       | 0                              | 0   | 0                                       | 0                                   | 0                                   | 0  | 1   | 0   | 0                                | 0   |  |
| 5  | 1                       | 2                              | 1   | 1                                       | 1                                   | 3                                   | 0  | 3   | 1   | 3                                | 0   | 0  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 1                                | 1   | 0  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 0                                | 0   |  |
| 0  | 0                       | 0                              | 0   | 0                                       | -                                   | 0                                   | 0  | 0   | 0   | 0                                | 0   |  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 0                                | 0   |  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 0                                | 0   |  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 1   | 1                                | 0   |  |
| 0  | 0                       | 1                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 0                                | 0   |  |
| 1  | 0                       | 0                              | 0   | 0                                       | 0                                   | 1                                   | 0  | 0   | 0   | 0                                | 0   | 0  |
| 6  | 2                       | 4                              | 1   | 1                                       | 1                                   | 5                                   | 0  | 4   | 3   | 5                                | 3   | 0  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 0                                | 0   | 0  |
| 0  | 0                       | 0                              | 0   | 0                                       |                                     | 0                                   | 0  | 0   | 0   | 0                                | 0   | 0  |
| 11   | 4                       | 7                              | 1   | 2                                       | 4                                   | 8                                   | 2  | 4   | 5   | 5                                | 7   | 1  |

| Clydesdale<br>Housing<br>Association | Cunninghame<br>Housing<br>Association<br>Ltd | Housing<br>Association | Dunbritton<br>Housing<br>Association Ltd | East Lothian<br>Housing<br>Association<br>Ltd |   | Eildon Housing<br>Association Ltd |   | Glasgow West<br>Housing<br>Association<br>Ltd | Glen Housing<br>Association Ltd | Association | Govan Housing<br>Association<br>Ltd | Grampian<br>Housing<br>Association L |
|--------------------------------------|--|------------------------|--|---|---|-----------------------------------|---|---|---------------------------------|-------------|-------------------------------------|--------------------------------------|
| 0                                    | 0  | 1                      | 0  | 0   | 0 | 0                                 | 1 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 1  | 0                      |  | 3   | 0 | 1                                 | 0 |   | 0                               | 0           | 1                                   |                                      |
| 0                                    | 2  | 1                      | 1  | 1   | 0 | 2                                 | 1 | 3   | 1                               | 0           | 1                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 0   | 0 | 2                                 | 0 | 1   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      |  | 0   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 1  | 0                      | 1  | 2   | 2 | 1                                 | 0 | 3   | 1                               | 1           | 1                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 0   | 0 | 0                                 | 0 | 1   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 1   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 0   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      |  | 0   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 0   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 1                                    | 0  | 0                      | 0  | 0   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      |  | 0   | 0 | 1                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 0   | 0 | 0                                 | 0 | 0   | 0                               | 0           | 0                                   |                                      |
| 1                                    | 1  | 0                      | 1  | 3   | 2 | 4                                 | 0 | J   | 1                               | 1           | 1                                   |                                      |
| 0                                    | 0  | 0                      | 0  | 0   | 0 | 0                                 | 0 | ·   | 0                               | 0           | 0                                   |                                      |
| U                                    | 3  | 1                      | 0  | 7   | 2 |                                   | U | 8   | - v                             |             | 0                                   |                                      |

| Hanover<br>(Scotland)<br>Housing<br>Association<br>Ltd | Hebridean<br>Housing<br>Partnership Ltd | Hillcrest<br>Housing<br>Association | Hillhead<br>Housing<br>Association<br>2000 | Hjaltland<br>Housing<br>Association<br>Ltd | Home in<br>Scotland | Homes for Life<br>Housing<br>Partnership | Horizon<br>Housing<br>Association<br>Ltd | Irvine Housing<br>Association Ltd | Kingdom<br>Housing<br>Association<br>Ltd | Lanarkshire<br>Housing<br>Association<br>Ltd | Langstane<br>Housing<br>Association<br>Ltd | Larkfield<br>Housing<br>Association Ltd | Link Group I |
|--|---|-------------------------------------|--|--|---------------------|--|--|-----------------------------------|--|--|--|---|--------------|
| 0  | 0                                       | 1                                   | 0  | 0  |                     | 0  | C  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 1  | 0                                       | 4                                   | 0  | 0  |                     | 0  |  | 1                                 | 1  | 0  | 2  | 2 0                                     |              |
| 0  | 0                                       | 0                                   | 0  | 0  | C                   | 0  | <u>C</u>                                 | ) 2                               | 0  | 1  | 2  | ! 1                                     |              |
| 1  | 0                                       | 5                                   | 0  | 0  | C                   | 0  | C  | 3                                 | 1  | 1  | 4  | 1                                       |              |
| 1  | 1                                       | 0                                   | 1  | 0  | 1                   | 0  | 1  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  | C  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 5  | 2                                       | 3                                   | 0  | 1  | 3                   | 1  | C  | 0                                 | 3  | 0  | 2  | 2 0                                     |              |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  |  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  |  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  |  | 0                                 | 0  | 0  | C  | 0                                       | ļ            |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  |  | 0                                 | 0  | 0  |  | 0                                       |              |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  |  | 0                                 | 0  | 0  |  | 0                                       |              |
| 1  | 0                                       | 0                                   | U  | 0  |                     | 0  |  | 0                                 | 1  | 0  | C  | 0                                       |              |
| 0  | 1                                       | 0                                   | 1  | 0  |                     | 0  |  | 0                                 | 0  | 0  | 1  | 0                                       | <b>.</b>     |
| 0  | 0                                       | 0                                   | 0  | 0  | C                   | 0  | C  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 7  | 4                                       | 3                                   | 2  | 1  | 4                   | . 1                                      | 1  | 0                                 | 4  | 0  | 3  | 0                                       |              |
| 0  | 0                                       | 0                                   | 0  | 0  |                     | 0  | <u>C</u>                                 | 0                                 | 0  | 0  | C  | 0                                       |              |
| 0  | 0                                       | 0                                   | 0  | 0  | C                   | 0  | C  | 0                                 | 0  | 0  | C  | 0                                       |              |
| 8  | 4                                       | 8                                   | 2  | 1  | 4                   | 1  | 1  | 3                                 | 5  | 1  | 7  | '                                       |              |

| Link Housing<br>Association<br>Ltd | Link PSL | Linstone<br>Housing<br>Association<br>Ltd | Linthouse<br>Housing<br>Association<br>Ltd | Lochaber<br>Housing<br>Association Ltd | Loreburn<br>Housing<br>Association<br>Ltd | Loretto<br>Housing<br>Association<br>Ltd | Manor Estates<br>Housing<br>Association Ltd | Association | Melville<br>Housing<br>Association<br>Ltd | Milnbank<br>Housing<br>Association Ltd | New Gorbals<br>Housing<br>Association<br>Ltd | North Glasgow<br>Housing<br>Association<br>Ltd |
|------------------------------------|----------|---|--|--|---|--|---|-------------|---|--|--|--|
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 1   | 1                                      | 0  | 0  |
| 0                                  | 0        | 0   | 0  | 0                                      | 2   | 1  | 0   | 1           | 0   | 0                                      | 0  | 0  |
| 4                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 3           | 2   | 0                                      | 1  | 1  |
| 4                                  | 0        | 0   | 0  | 0                                      | 2   | 1  | 0   | 4           | 3   | 1                                      | 1  | 1  |
| 1                                  | 0        | 0   | 0  | 2                                      | 0   | 0  | 0   | 2           | 0   | 0                                      | 0  | 0  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  | 0  |
| 1                                  | 0        | 1   | 0  | 2                                      | 1   | 0  | 2   | 1           | 2   | 0                                      | 1  | 1  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 1        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 1  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 1  | 0   | 1           | 0   | 0                                      | 0  | 0  |
| 2                                  | 1        | 1   | 1  | 4                                      | 1   | 1  | 2   | 4           | 2   | 0                                      | 1  | 1  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  |  |
| 0                                  | 0        | 0   | 0  | 0                                      | 0   | 0  | 0   | 0           | 0   | 0                                      | 0  | •  |
| 6                                  | 1        | 1   |  | 4                                      | 3   | 2  | 2   | 8           | 5   | 1                                      | 2  | 2  |

| Oak Tree<br>Housing<br>Association Ltd | Ochil View<br>Housing<br>Association<br>Ltd | Ore Valley<br>Housing<br>Association<br>Ltd | Orkney<br>Housing<br>Association Ltd | Osprey<br>Housing | Paragon<br>Housing<br>Association<br>Ltd | Parkhead<br>Housing<br>Association Ltd | Partick<br>Housing<br>Association<br>Ltd |    | Port of Leith<br>Housing<br>Association Ltd | Provanhall<br>Housing<br>Association<br>Limited | Queens Cross<br>Housing<br>Association<br>Ltd | Reidvale<br>Housing<br>Association Ltd |
|--|---|---|--------------------------------------|-------------------|--|--|--|----|---|---|---|--|
| 0                                      | 0   | 1   | 0                                    | 1                 | 0  | 0                                      | 1  | 3  | 0   | 0   | 2   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 1                                      | 0  | 4  | 1   | 0   | 5   | 1                                      |
| 0                                      | 0   | 1   | 0                                    | 1                 | 0  | 1                                      | 1  | 7  | 1   | 0   | 8   | 1                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 1  | 0   | 0   | 1   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 1  | 0   | 0   | 2   | 0                                      |
| 2                                      | 2   | 0   | 2                                    | 1                 | 1  | 0                                      | 1  | 9  | 1   | 1   | 3   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 2  | 0   | 0   | 0   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 1   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 1  | 0   | 0   | 0   |  |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   |  |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   | 0                                      |
| 2                                      | 2   | 0   | 2                                    | 1                 | 1  | 0                                      | 1  | 14 | 1   | 1   | 7   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   | 0                                      |
| 0                                      | 0   | 0   | 0                                    | 0                 | 0  | 0                                      | 0  | 0  | 0   | 0   | 0   | 0                                      |
| 2                                      | 2   | 1   | 2                                    | 2                 | 1  | 1                                      | 2  | 21 | 2   | 1   | 15  | 1                                      |

| River Clyde<br>Homes | Rosehill<br>Housing Co-<br>operative Ltd | Housing<br>Association | Rutherglen and<br>Cambuslang<br>Housing<br>Association Ltd | Housing<br>Association | Sanctuary<br>Cumbernauld | Scottish<br>Borders<br>Housing<br>Association Ltd | Shettleston<br>Housing<br>Association<br>Ltd | Shire Housing<br>Association<br>Ltd | Southside<br>Housing<br>Association | Thenue<br>Housing | Tollcross<br>Housing<br>Association<br>Ltd | Trafalgar<br>Housing<br>Association Ltd | Trust Housing<br>Association<br>Ltd |
|----------------------|--|------------------------|--|------------------------|--------------------------|---|--|-------------------------------------|-------------------------------------|-------------------|--|---|-------------------------------------|
| 0                    | 0  | 0                      | 0  | 1                      | 0                        | 0   | 0  | 0                                   | 1                                   | 0                 | 0  | 0                                       | 1                                   |
| 0                    | 0  | 1                      | 1  | 8                      | 1                        | 2   | 2  | 0                                   | 2                                   | 2                 | 0  | 0                                       | 1                                   |
| 2                    | 0  | 0                      | 0  | 5                      | 0                        | 1   | 0  | 0                                   | 1                                   | 0                 | 1  | 1                                       | 0                                   |
| 2                    | 0  | 1                      | 1  | 14                     |                          | 3   | 2  | 0                                   | 4                                   | 2                 | 1  | 1                                       | 2                                   |
| 2                    | 0  | 0                      | 0  | 2                      | 0                        | 0   | 1  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 0                    | 0  | 0                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 1                                   | 0                 | 0  | 0                                       | 0                                   |
| 2                    | 0  | 3                      | 0  | 5                      | 1                        | 4   | 3  | 1                                   | 0                                   | 1                 | 0  | 0                                       | 3                                   |
| 1                    | 0  | 0                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 0                    | 0  | 1                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 0                    | 0  | 0                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 0                    | 0  | 0                      | 0  | 1                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 1                                   |
| 0                    | 0  | 0                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 0                    | 0  | 0                      | 0  | 1                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 2                                   |
| 0                    | 0  | 0                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 1                                   | 0                 | 0  | 0                                       | 0                                   |
| 0                    | 1  | 0                      | 0  | 9                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 5                    | 0  | 4                      | 0  | 9                      | 1                        | 4   | 4  | 1                                   | 2                                   | 1                 | 0  | 0                                       | 6                                   |
| 0                    | 0  | 0                      | 0  | 0                      | 0                        | 0   | 0  | 0                                   | 0                                   | 0                 | 0  | 0                                       | 0                                   |
| 7                    | 1  | 5                      | 1  | 23                     | 2                        | 7   | 0  | 1                                   | 6                                   | 3                 | 1  | 1                                       | 0                                   |
| 7                    | 1  | 5                      | 1  | 23                     | 2                        | 7   | 6  | 1                                   | 6                                   | 3                 | 1  | 1                                       | 8                                   |

| Viewpoint<br>Housing<br>Association<br>Ltd | Waverley<br>Housing | West of<br>Scotland<br>Housing<br>Association<br>Ltd | West<br>Whitlawburn<br>Housing Co-<br>operative Ltd | Wheatley<br>Homes East | Wheatley<br>Homes<br>Glasgow | Wheatley<br>Housing Group<br>Ltd | Williamsburgh<br>Housing<br>Association Ltd | Yoker Housing<br>Association<br>Ltd | Yorkhill<br>Housing<br>Association<br>Ltd | Total |
|--|---------------------|--|---|------------------------|------------------------------|----------------------------------|---|-------------------------------------|---|-------|
| 0  | 0                   | 0  |   | 3                      | 0                            | 4                                | 0   | 0                                   | 0   | 24    |
| 0  | 0                   | 1  | 0   | 2                      | 2                            | 16                               | 0   | 1                                   | 1   | 109   |
| 1  | 1                   | 0  | 0   | 0                      | 0                            | 14                               | 1   | 1                                   | 0   | 95    |
| 1  | 1                   | 1  | 0   | 5                      | 2                            | 34                               | 1   | 2                                   | 1   | 228   |
| 1  | 0                   | 0  | 0   | 0                      | 1                            | 5                                | 0   | 0                                   | 0   | 37    |
| 0  | 0                   | 1  | 0   | 0                      | 0                            | 2                                | 0   | 0                                   | 0   | 10    |
| 1  | 0                   | 4  | 0   | 0                      | 0                            | 29                               | 0   | 2                                   | 0   | 158   |
| 1  | 0                   | 0  | 0   | 0                      | 0                            | 1                                | 0   | 0                                   | 0   | 8     |
| 0  | 0                   | 0  |   | 0                      | 0                            | 2                                | 0   | 0                                   | 0   | 6     |
| 0  | 0                   | 0  | 0   | 0                      | 0                            | 0                                | 0   | 0                                   | 0   | 1     |
| 0  | 0                   | 0  | 0   | 0                      | 0                            | 1                                | 0   | 0                                   | 0   | 4     |
| 0  | 0                   | 0  | 0   | 0                      | 0                            | 1                                | 0   | 0                                   | 0   | 2     |
| 0  | 0                   | 0  | 0   | 0                      | 0                            | 1                                | 0   | 0                                   | 0   | 11    |
| 0  | 0                   | 0  | 0   | 0                      | 0                            | 1                                | 0   | 0                                   | 0   | 7     |
| 0  | 0                   | 0  | 0   | 0                      | 0                            | 2                                | 0   | 0                                   | 0   | 7     |
| 3  | 0                   | 5  | 0   | 0                      | 1                            | 45                               | 0   | 2                                   | 0   | 251   |
| 0  | 0                   | 0  | 1   | 0                      | 0                            | 0                                | 0   | 0                                   | 0   | 1     |
| 0  | 0                   | 0  |   | 0                      | 0                            | 0                                | 0   | 0                                   | 0   | 1     |
| 4  | 1                   | 6  | 1   | 5                      | 3                            | 79                               | 1   | 4                                   | 1   | 480   |

#### 6. LOCAL AUTHORITY CASES DETERMINED

|                  |  | A Council | Aberdeen City | Aberdeenshire |               |
|------------------|--|-----------|---------------|---------------|---------------|
| Stage            | Outcome Group  | Unknown   | Council       | Council       | Angus Council |
| Advice           | A&G - Complaint submissions - mature                                 | 3         |               | 3             |               |
| Advice           | A&G - Complaint submissions - premature                              | 33        |               |               |               |
|                  | A&G - Enquiries  | 14        |               |               |               |
|                  | Organisation not in jurisdiction                                     | 0         |               |               |               |
|                  | Total  | 50        | •             |               |               |
| Early Resolution | Cause and impact test not met (s 5 (3))                              | 0         |               |               |               |
| Larry Resolution | Discretion – Insufficient benefit would be achieved by investigation | 0         | · ·           |               |               |
|                  | Discretion – Alternative action proposed                             | 0         | ŭ             |               |               |
|                  | Discretion – Alternative route used or available                     | 0         | ŭ             |               |               |
|                  | Discretion – Good complaint handling                                 | 0         |               | ·             | ·             |
|                  | Discretion – Referred back   | 0         |               |               | 1             |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 0         | ŭ             | 1             | 0             |
|                  | Member of the public test not met (s 5 (6))                          | 0         | •             | 0             |               |
|                  | No response to contact   | 0         | ŭ             |               | 0             |
|                  | Organisation not in jurisdiction                                     | 0         | ŭ             |               | _             |
|                  | Premature  | 0         | · ·           | <u> </u>      | 1             |
|                  | Right of appeal to court/tribunal/Scottish ministers (s 7 (8))       | 0         | 0             | <u> </u>      | 0             |
|                  | Subject matter not in jurisdiction                                   | 0         | ŭ             |               | 1             |
|                  | Time limit (s 10)  | 0         | 6             | 1             | 1             |
|                  | Unable to proceed  | 0         |               | 0             | 1             |
|                  | Total  | 0         | 37            | 38            | 11            |
| Investigation    | Fully upheld   | 0         |               | 1             | 1             |
| 9                | Not duly made or withdrawn   | 0         | 0             | 0             | 0             |
|                  | Not upheld   | 0         |               | 2             |               |
|                  | Resolved   | 0         | 0             |               |               |
|                  | Some upheld  | 0         | 0             |               | 0             |
|                  | Total  | 0         | 2             | 4             | 1             |
| Total            |  | 50        | 64            | 61            | 22            |

|                 |               |               | Dumfries and |             |               | East           |              | East         |
|-----------------|---------------|---------------|--------------|-------------|---------------|----------------|--------------|--------------|
| Argyll and Bute | Clackmannansh | Comhairle nan | Galloway     | Dundee City | East Ayrshire | Dunbartonshire | East Lothian | Renfrewshire |
| Council         | ire Council   | Eilean Siar   | Council      | Council     | Council       | Council        | Council      | Council      |
| 1               | 0             | 0             | 0            | 2           | 0             | 1              | 4            | 0            |
| 2               | 4             | 0             | 6            | 5           | 4             | 7              | 0            |              |
| 2               | 2             | 0             | 2            | 9           | 5             |                |              |              |
| 0               | 0             | 0             | 0            | 0           | 0             | 0              | 0            |              |
| 5               | 6             | 0             | 8            | 16          | 9             |                | 6            | 2            |
| 1               | 0             | 0             | 1            | 0           | 1             | 0              | 0            | 0            |
| 4               | 3             | 0             | 1            | 7           | 2             |                | 11           | 3            |
| 0               | 0             | 0             | 0            | 1           | 2             |                |              |              |
| 1               | 0             | 0             | 0            | 0           | 0             | 0              |              |              |
| 6               | 2             | 3             | 13           | 11          | 6             |                |              | 8            |
| 0               | 1             | 0             | 0            | 0           | 0             |                | 1            | 0            |
| 0               | 0             | 0             | 0            | 0           | 0             | -              | 1            | 0            |
| 0               | 0             | 0             | 0            | 0           | 0             | 0              | 1            | 0            |
| 0               | 0             | 0             | 0            | 0           | 0             | 0              | 0            | 0            |
| 0               | 0             | 0             | 0            | 0           | 0             | 0              | -            |              |
| 0               | 0             | 0             | 0            | 0           | 0             | -              |              |              |
| 0               | 0             | 1             | 2            | 0           | 1             | 2              | 0            |              |
| 1               | 0             | 0             | 1            | 0           | 0             |                |              |              |
| 0               | 0             | 0             | 1            | 0           | 0             |                |              | 2            |
| 13              | 7             | 4             | 19           | 19          | 12            | 16             |              | 14           |
| 0               | 1             | 0             | 0            | 2           | 0             | 0              |              |              |
| 0               | 0             | 0             | 0            | 1           | 0             | 0              | · ·          |              |
| 0               | 1             | 0             | 1            | 0           | 0             | 1              | 0            |              |
| 0               | 0             | 0             | 0            | 0           | 0             | 0              | -            |              |
| 0               | 0             | 0             | 1            | 0           | 0             |                |              |              |
| 0               | 2             | 0             | 2            | 3           | 0             |                | 0            | 0            |
| 18              | 15            | 4             | 29           | 38          | 21            | 25             |              | 16           |

# Council

|                 |              |              | Council    |            |                |             |                |                        |
|-----------------|--------------|--------------|------------|------------|----------------|-------------|----------------|------------------------|
|                 |              |              |            |            |                | North       |                |                        |
|                 |              | Glasgow City | Inverclyde | Midlothian | North Ayrshire | Lanarkshire | Orkney Islands | Perth and              |
| Falkirk Council | Fife Council | Council      | Council    | Council    | Council        | Council     | Council        | <b>Kinross Council</b> |
| 1               | 6            |              | 0          | 2          | 2              | 3           | 0              | 1                      |
| 7               | 23           |              | 2          | 7          | 4              | 18          | 1              | 6                      |
| 9               | 11           | 14           | 2          | 10         | 3              | 13          |                | 3                      |
| 0               | 0            | 1            | 0          | 0          | 0              | 0           |                | 0                      |
| 17              | 40           | 46           | 4          | 19         | 9              | 34          | 2              | 10                     |
| 0               | 2            |              | 0          | 0          | 0              | 0           | 1              | 0                      |
| 8               |              | 3            | 1          | 1          | 2              | 9           | 0              | 1                      |
| 3               | 1            | 2            | 0          | 0          | 0              | 0           |                | 0                      |
| 0               | 0            | 1            | 0          | 0          | 0              | 0           | 0              | 1                      |
| 30              | 34           | 13           | 5          | 3          | 11             | 19<br>7     | 3              | 11                     |
| 2               | 4            | 3            | 0          | 1          | 0              | 0           | 0              | 1                      |
| 0               | 0            |              | 0          | 0          | 0              | 0           | 0              | 0                      |
| 0               | 0            |              | 0          | 0          | 0              | 0           |                | 0                      |
| 0               | 0            |              | 0          | 0          | 0              | 0           | 0              | 0                      |
| 0               | 0            | 0            | 0          | 0          | 0              | 2           | 0              | 0                      |
| 2               | 0            |              | 2          | 0          | 1              | 0           | -              | 1                      |
| 1               | 1            | 0            | 0          | 0          | 1              | 1           | 1              | 0                      |
| 0               | 4            | 2            | 0          | 0          | 0              | 3           | 0              | 0                      |
| 0               | 3            | 1            | 0          | 1          | 0              | 2           | 1              | 0                      |
| 46              | 56           | 26           | 8          | 6          | 15             | 44          | 7              | 15                     |
| 0               | 1            | 0            | 0          | 1          | 0              | 0           | 0              | 1                      |
| 0               | 0            | 0            | 0          | 0          | 0              | 0           | 0              | 0                      |
| 0               | 2            | 0            | 0          | 0          | 1              | 1           | 0              | 0                      |
| 0               | 0            | 0            | 0          | 0          | 0              | 1           | 0              | 0                      |
| 0               | 2            | 0            | 0          | 1          | 0              | 0           | 0              | 0                      |
| 0               | 5            | 0            | 0          | 2          | 1              | 2           | 0              | 1                      |
| 63              | 101          | 72           | 12         | 27         | 25             | 80          | 9              | 26                     |

| Renfrewshire<br>Council | Scottish<br>Borders<br>Council | Shetland<br>Islands Council | South Ayrshire<br>Council | South<br>Lanarkshire<br>Council | Stirling Council | The City of<br>Edinburgh<br>Council | The Highland<br>Council | The Moray<br>Council |
|-------------------------|--------------------------------|-----------------------------|---------------------------|---------------------------------|------------------|-------------------------------------|-------------------------|----------------------|
| 3                       | 2                              |                             |                           | 4                               | 1                | 5                                   |                         |                      |
| 6                       | 5                              |                             | 6                         | 18                              | 3                | 34                                  | 22                      | 2                    |
| 5                       | 4                              | 0                           | 0                         | 9                               | 5                | 19                                  | 8                       | 6                    |
| 0                       | 1                              | 0                           | 0                         | 0                               | 0                | 0                                   | 0                       | 0                    |
| 14                      | 12                             | 0                           | 6                         | 31                              | 9                | 58                                  | 30                      | 10                   |
| 1                       | 0                              | 0                           | 0                         | 0                               | 0                | 0                                   | 1                       | 0                    |
| 10                      | 3                              | 1                           | 1                         | 12                              | 7                | 14                                  | 13                      | 1                    |
| 1                       | 1                              | 0                           | 0                         | 1                               | 0                | 2                                   | 1                       | 0                    |
| 1                       | 0                              | 0                           | 0                         | 0                               | 0                | 1                                   | 1                       | 0                    |
| 19                      | 14                             | 3                           | 6                         | 17                              | 10               | 44                                  | 26                      | 4                    |
| 1                       | 0                              | 0                           | 1                         | 1                               | 0                | 4                                   | 3                       | 1                    |
| 4                       | 0                              |                             | 0                         | 1                               | 0                | 2                                   | 0                       | 0                    |
| 0                       | 0                              | 0                           | 1                         | 1                               | 0                | 0                                   | 0                       | 0                    |
| 0                       | 0                              | 0                           | 0                         | 0                               | 0                | 0                                   | 0                       | 0                    |
| 0                       | 1                              | 0                           | 0                         | 0                               | 0                | 0                                   | 0                       | 0                    |
| 0                       | 0                              | 0                           | 0                         | 1                               | 1                | 0                                   | 1                       | 0                    |
| 1                       | 1                              | 0                           | 0                         | 0                               | 1                | 6                                   | 0                       | 0                    |
| 0                       | 0                              | 1                           | 0                         | 1                               | 2                | 2                                   | 2                       | 1                    |
| 0                       | 1                              | 0                           |                           | 0                               | 0                | 3                                   | 1                       | 2                    |
| 1                       | 2                              | 0                           | 0                         | 3                               | 0                | 0                                   | 1                       | 0                    |
| 39                      | 23                             |                             | 9                         | 38                              | 21               | 78                                  | 50                      | 9                    |
| 0                       | 0                              | 0                           | 0                         | 0                               | 0                | 3                                   | 0                       | 0                    |
| 0                       | 0                              |                             |                           | 0                               | 0                | 0                                   | 0                       | 0                    |
| 0                       | 0                              | 0                           | 0                         | 0                               | 0                | 1                                   | 0                       | 0                    |
| 1                       | 0                              |                             | 0                         | 0                               | 0                | 0                                   |                         | 0                    |
| 0                       | 0                              | 0                           | 0                         | 2                               | 0                | 0                                   | 2                       | 0                    |
| 1                       | 0                              | v                           | 0                         | 2                               | 0                | 4                                   | 2                       | 0                    |
| 54                      | 35                             | 5                           | 15                        | 71                              | 30               | 140                                 | 82                      | 19                   |

|                                   |                         | Fire / Police /<br>Safety Authority        | National Park<br>Authority  |   |                            |                                  | O1           | her Local Authori                                 |
|-----------------------------------|-------------------------|--|---|---|----------------------------|----------------------------------|--------------|---|
| West<br>Dunbartonshire<br>Council | West Lothian<br>Council | The Scottish<br>Fire and Rescue<br>Service | Loch Lomond<br>and The<br>Trossachs<br>National Park<br>Authority | A Local<br>Authority Body<br>Unknown (Not<br>A Council) | Ayrshire Roads<br>Alliance | Fife Sports and<br>Leisure Trust | Glasgow Life | North Ayrshire<br>Leisure Ltd (t/a<br>KA Leisure) |
| 0                                 | 5                       |  | 0   | 0   | 0                          | 0                                | 0            | 0   |
| 10                                | 15                      |  | 0   |   | 0                          | 1                                | 0            | 1   |
| 6                                 | 15                      |  | 0   | 1   | 0                          | 0                                | •            | 0   |
| 0                                 | 0                       |  | 0   | 0   | 0                          | 0                                |              | 0   |
| 16                                | 35                      |  | 0   | 2   | 0                          | 1                                | 0            | 1   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                |              | 0   |
| 2                                 | 10                      |  | 2   |   | 0                          | 0                                |              | 0   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                |              |   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                |              |   |
| 4                                 | 18                      |  | 1   | 0   | 1                          | 0                                |              |   |
| 1                                 | 1                       | 0  | 0   |   | 1                          | 1                                | 0            |   |
| 0                                 | <u>1</u><br>1           | U  | 0   |   | 0                          | 0                                |              |   |
|                                   | <u> </u>                | Ŭ  | 0   |   | 0                          | 0                                |              |   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                |              |   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                |              | -   |
| 0                                 | 1                       | 0  | 0   |   | 0                          | 0                                |              | 0   |
| 1                                 | <u>1</u>                | 0  | 1   | 0   | 0                          | 2                                |              | 0   |
| 1                                 | 1                       | 0  | 0   | 0   | 0                          | 0                                |              | 0   |
| 0                                 | 2                       |  | 0   | ·   | 0                          | 0                                |              | 0   |
| 10                                | 36                      |  | 4   | 0   | 2                          | 3                                |              | 0   |
| 10                                | 0                       |  | 0   | •   | 0                          | 0                                |              | 0   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                | •            |   |
| 1                                 | 0                       |  | 0   |   | 0                          | 0                                |              |   |
| 1                                 | 0                       |  | 0   |   | 0                          | 0                                |              |   |
| 0                                 | 0                       |  | 0   |   | 0                          | 0                                |              |   |
| 3                                 | 0                       |  | 0   | 0   | 0                          | 0                                |              |   |
| 29                                | 71                      | 1  | 4   | 2   | 2                          | 4                                | 1            | 1   |

|                                     |                                |              |                        | Valuation J                 | loint Board                |       |
|-------------------------------------|--------------------------------|--------------|------------------------|-----------------------------|----------------------------|-------|
| South<br>Lanarkshire<br>Leisure and | Strathclyde<br>Partnership for | Strathclyde  | West<br>Dunbartonshire | Grampian<br>Valuation Joint | Lothian<br>Valuation Joint |       |
| Culture                             | Transport                      | Pension Fund | Leisure Trust          | Board                       | Board                      | Total |
| 0                                   | •                              | 0            |                        | 0                           | 0                          | 60    |
| 0                                   | 0                              | 0            | 0                      | 0                           | 0                          | 309   |
| 0                                   | 0                              | 0            | 0                      | 0                           | 2                          | 201   |
| 0                                   | 0                              | 0            | 0                      | 0                           | 0                          | 2     |
| 0                                   | 0                              | 0            | 0                      |                             | 2                          | 572   |
| 0                                   | 0                              | 0            | 0                      |                             | 0                          | 9     |
| 1                                   | 0                              | 0            | 0                      |                             | 0                          | 164   |
| 0                                   | -                              | 0            |                        |                             | 0                          | 17    |
| 0                                   |                                | 1            | 0                      |                             |                            | 8     |
| 0                                   |                                | 0            |                        |                             | 0                          | 413   |
| 0                                   |                                | 0            |                        |                             |                            | 38    |
| 0                                   |                                | 0            | 0                      |                             | 0                          | 12    |
| 0                                   |                                | 0            |                        |                             | 0                          | 6     |
| 0                                   |                                | 0            |                        |                             | 0                          | 1     |
| 0                                   |                                | 0            | 0                      |                             | 0                          | 1     |
| 0                                   |                                | 0            |                        |                             | 0                          | 6     |
| 0                                   |                                | 0            |                        |                             | 0                          | 18    |
| 0                                   |                                | 0            |                        |                             |                            | 27    |
| 0                                   | 0                              | 0            |                        |                             | 0                          | 29    |
| 0                                   | 0                              | 0            |                        | 0                           | 0                          | 22    |
| 1                                   | 1                              | 1            | 1                      | 1                           | 0                          | 771   |
| 0                                   | 0                              | 0            | 0                      |                             | 0                          | 13    |
| 0                                   | 0                              | 0            | 0                      |                             | 0                          | 1     |
| 0                                   |                                | 0            |                        |                             | 0                          | 12    |
| 0                                   | -                              | 0            |                        |                             |                            | 3     |
| 0                                   |                                | 0            |                        |                             |                            | 9     |
| 0                                   | 0                              | 0            | ~                      |                             | 0                          | 38    |
| 1                                   | 1                              | 1            | 1                      | 1                           | 2                          | 1,381 |

# 7a. SG&DA - SCOTTISH GOVERNMENT & SCOTTISH ADMINISTRATION CASES DETERMINED

| Stage            | Outcome Group  | Crown Office<br>and Procurator<br>Fiscal Service | Historic<br>Environment<br>Scotland | National<br>Records of<br>Scotland (NRS) |
|------------------|--|--|-------------------------------------|--|
| Advice           | A&G - Complaint submissions - mature                                 | 5  | 0                                   | 0  |
|                  | A&G - Complaint submissions - premature                              | 1  | 0                                   | 0  |
|                  | A&G - Enquiries Organisation not in jurisdiction                     | 4  | 0                                   | 0  |
|                  | Total  | 16   | 0                                   | 0  |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 2  | 1                                   | 0  |
|                  | Discretion – Alternative action proposed                             | 0  | 0                                   | 0  |
|                  | Discretion – Alternative route used or available                     | 0  | 0                                   | 1  |
|                  | Discretion – Good complaint handling                                 | 5  | 0                                   | 2  |
|                  | Discretion – Referred back   | 0  | 0                                   | 0  |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 0  | 0                                   | 0  |
|                  | Organisation not in jurisdiction                                     | 1  | 0                                   | 0  |
|                  | Premature  | 0  | 0                                   | 0  |
|                  | Right of appeal to court/tribunal/Scottish ministers (s 7 (8))       | 0  | 0                                   | 0  |
|                  | Subject matter not in jurisdiction                                   | 21   | 0                                   | 0  |
|                  | Time limit (s 10)  | 0  | 0                                   | 0  |
|                  | Unable to proceed  | 0  | 0                                   | 0  |
|                  | Total  | 29   | 1                                   | 3  |
| Total            |  | 45   | 1                                   | 3  |

| Office of the<br>Accountant in<br>Bankruptcy | Office of the<br>Public<br>Guardian<br>(Scotland) | Queen's & Lord<br>Treasurer's<br>Remembrancer | Registers of<br>Scotland | Revenue<br>Scotland | Scottish Court<br>and Tribunal<br>Service | Scottish<br>Forestry | Scottish<br>Government | Scottish Government D- G Enterprise, Environment & Digital |
|--|---|---|--------------------------|---------------------|---|----------------------|------------------------|--|
| 0  | 0   | 0   | 0                        | 0                   | 2   | 0                    | 2                      | 0  |
| 1  | 0   |   | 0                        | 0                   | 9   | 0                    | 7                      | 0  |
| 0  | 0   |   | 0                        | 0                   | 6   | 0                    | 0                      | 0  |
| 0  | 0   |   | 0                        | 0                   | 3   | 0                    | 1                      | 0  |
| 1  | 0   |   | 0                        | 0                   | 20  | 0                    | 10                     | 0  |
| 0  | 0   |   | 0                        | 0                   | 0   | 0                    | 0                      | 0  |
| 0  | 0   |   | 1                        | 0                   | 0   | 0                    | 0                      | 0  |
| 0  | 0   | , and the second                              | 0                        | 0                   | 0   | 0                    | 1                      | 0  |
| 1  | 1   | 0   | 0                        | 0                   | 3   | 1                    | 1                      | 1  |
| 0  | 0   |   | 0                        | 0                   | 0   | 0                    | 0                      | 0  |
| 0  | 0   |   | 0                        | 0                   | 0   | 0                    | 0                      | 0  |
| 0  | 0   |   | 0                        | 0                   | 0   | 0                    | 0                      | 0  |
| 0  | 0   |   | 0                        | 0                   | <u> </u>                                  | 0                    | 2                      | 0  |
| 0  | 0   |   | 0                        | 1                   | <u>1</u>                                  | 0                    | 0                      | 0  |
| 0  | 0   |   | 1                        | 0                   | <u> </u>                                  | 0                    | 1                      | 0  |
| 0  | 0   |   | 1                        | 0                   | 0   | 0                    | 1                      | 0  |
| 2  | 0   | 0   | 2                        | 1                   |   | 1                    | 5                      | 1  |
| 3  | 1   | 1   | 2                        | 1                   | 27  | 1                    | 15                     |  |

| Scottish<br>Government D-<br>G Health &<br>Social Care | Scottish<br>Government D-<br>G Learning &<br>Justice | Scottish Government D- G Planning and Environmental Appeals | Scottish Public<br>Pensions<br>Agency | Social Security Scotland | Student<br>Awards Agency<br>for Scotland | Student Loans<br>Company (via<br>SAAS) | The<br>Accountant of<br>Court | Transport<br>Scotland |
|--|--|---|---------------------------------------|--------------------------|--|--|-------------------------------|-----------------------|
| 0  |  | •   | 0                                     | 2                        | 0  | , 0                                    | 0                             | 0                     |
| 0  | 0  | 0   | 1                                     | 10                       | 0  | 4                                      | 0                             | 1                     |
| 0  | 0  | 0   | 2                                     | 8                        | 0  | 0                                      | 0                             | 0                     |
| 0  | 0  | 0   | 0                                     | 0                        | 0  | 0                                      | 0                             | 0                     |
| 0  | 0  | 0   | 3                                     | 20                       | 0  | 4                                      | 0                             | 1                     |
| 0  | 0  | 1   | 1                                     | 3                        | 3  | 0                                      | 0                             | 1                     |
| 0  | 0  | 0   | 0                                     | 3                        | 0  | 0                                      | 0                             | 0                     |
| 0  | 0  | 0   | 2                                     | 1                        | 0  | 0                                      | 0                             | 0                     |
| 0  | _  | 1   | 0                                     | 18                       | 8  | 0                                      | 0                             | 0                     |
| 0  | 1  | 0   | 0                                     | 2                        | 0  | 0                                      | 0                             | 0                     |
| 0  |  |   | 0                                     | 1                        | 0  | 0                                      | 0                             | 0                     |
| 0  | _  |   | 0                                     | 0                        | 0  | 0                                      | 0                             | 0                     |
| 0  |  |   | 0                                     | 1                        | 0  | 0                                      | 0                             | 0                     |
| 0  |  |   | 0                                     | 0                        | 0  | 0                                      | 0                             | 0                     |
| 0  | · · ·  |   | 0                                     | 0                        | 0  | 0                                      | 0                             | 0                     |
| 1  | 0  |   | 0                                     | 0                        | 1  | 0                                      | 1                             | 0                     |
| 0  | 0  |   | 0                                     | 3                        | 0  | 0                                      | 0                             | 0                     |
| 1  | 1  | 2   | 3                                     | 32                       | 12                                       | 0                                      | 1                             | 1                     |
| 1  | 1  | 2   | 6                                     | 52                       | 12                                       | 4                                      | 1                             | 2                     |

| Total |                                  |
|-------|----------------------------------|
|       | 11                               |
|       | 41                               |
|       | 20                               |
|       | 4                                |
|       | 76                               |
|       | 12                               |
|       | 4<br>5                           |
|       | 5                                |
|       | 42                               |
|       | 3                                |
|       | 1                                |
|       | 1                                |
|       | 3<br>1<br>1<br>3<br>2<br>25<br>5 |
|       | 2                                |
|       | 25                               |
|       | 5                                |
|       | 3                                |
|       | 106                              |
|       | 182                              |

## 7b. SG&DA - SCOTTISH PUBLIC AUTHORITY CASES DETERMINED

|                  |  |              |            | Commissioner   |
|------------------|--|--------------|------------|----------------|
|                  |  |              |            | for Ethical    |
|                  |  |              | Children's | Standards in   |
|                  |  | Care         | Hearings   | Public Life in |
| Stage            | Outcome Group  | Inspectorate | Scotland   | Scotland       |
| Advice           | A&G - Complaint submissions - mature                                 | 0            | 0          | 0              |
|                  | A&G - Complaint submissions - premature                              | 1            | 0          | 0              |
|                  | A&G - Enquiries  | 4            | 0          | 1              |
|                  | Organisation not in jurisdiction                                     | 1            | 0          | 0              |
|                  | Total  | 6            | 0          | 1              |
| Early Resolution | Cause and impact test not met (s 5 (3))                              | 1            | 0          | 0              |
|                  | Discretion – Insufficient benefit would be achieved by investigation | 0            | 0          | 0              |
|                  | Discretion – Alternative action proposed                             | 0            | 0          | 0              |
|                  | Discretion – Alternative route used or available                     | 0            | 0          | 0              |
|                  | Discretion – Good complaint handling                                 | 5            | 1          | 0              |
|                  | Subject matter not in jurisdiction                                   | 0            | 1          | 0              |
|                  | Time limit (s 10)  | 2            | 0          | 0              |
|                  | Total  | 8            | 2          | 0              |
| Total            |  | 14           | 2          | 1              |

| Creative<br>Scotland | Crofting<br>Commission | Highlands &<br>Islands<br>Enterprise | Mental Welfare<br>Commission<br>for Scotland | NatureScot | Office of the<br>Scottish<br>Charity<br>Regulator | Parole Board<br>for Scotland | Police<br>Investigations<br>& Review<br>Commissioner | Scottish Canals |
|----------------------|------------------------|--------------------------------------|--|------------|---|------------------------------|--|-----------------|
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 1  | 0               |
| 0                    | 0                      | 1                                    | 0  | 0          | 1   | 2                            | 1  | 0               |
| 0                    | 1                      | 0                                    | 0  | 0          | 0   | 0                            | 1  | 0               |
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 1  | 0               |
| 0                    | 1                      | 1                                    | 0  | 0          | 1   | 2                            | 4  | 0               |
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 0  | 0               |
| 0                    | 1                      | 0                                    | 0  | 0          | 1   | 0                            | 0  | 1               |
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 0  | 0               |
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 0  | 0               |
| 1                    | 0                      | 0                                    | 1  | 1          | 1   | 0                            | 0  | 0               |
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 0  | 0               |
| 0                    | 0                      | 0                                    | 0  | 0          | 0   | 0                            | 0  | 0               |
| 1                    | 1                      | 0                                    | 1  | 1          | 2   | 0                            | 0  | 1               |
| 1                    | 2                      | 1                                    | 1  | 1          | 3   | 2                            | 4  | 1               |

| Scottish<br>Children's<br>Reporter<br>Administration | Scottish<br>Environment<br>Protection<br>Agency | Scottish Legal<br>Aid Board | Scottish<br>Parliamentary<br>Corporate Body | Scottish<br>Qualifications<br>Authority | Scottish Social<br>Services<br>Council | Skills<br>Development<br>Scotland | South of<br>Scotland<br>Enterprise | sportscotland |
|--|---|-----------------------------|---|---|--|-----------------------------------|------------------------------------|---------------|
| 0  | 0   | 1                           | 1   | 0                                       | 0                                      | 0                                 | 0                                  | 0             |
| 0  | 0   | 0                           | 2   | 0                                       | 0                                      | 0                                 | 0                                  | 0             |
| 0  | 0   | 0                           | 0   | 0                                       | 1                                      | 0                                 | 0                                  | 0             |
| 0  | 1   | 0                           | 0   | 0                                       | 0                                      | 0                                 | 0                                  | 0             |
| 0  | 1   | 1                           | 3   | 0                                       | 1                                      | 0                                 | 0                                  | 0             |
| 0  | 0   | 0                           | 0   | 0                                       | 0                                      | 0                                 | 1                                  | 0             |
| 0  | 1   | 1                           | 0   | 1                                       | 0                                      | 0                                 | 0                                  | 0             |
| 0  | 0   | 0                           | 0   | 0                                       | 1                                      | 0                                 | 0                                  | 0             |
| 1  | 0   | 0                           | 0   | 0                                       | 0                                      | 0                                 | 0                                  | 0             |
| 9  | 0   | 2                           | 0   | 1                                       | 4                                      | 0                                 | 0                                  | 2             |
| 0  | 0   | 0                           | 0   | 0                                       | 0                                      | 0                                 | 0                                  | 0             |
| 0  | 0   | 0                           | 0   | 0                                       | 0                                      | 1                                 | 0                                  | 0             |
| 10   | 1   | 3                           | 0   | 2                                       | 5                                      | 1                                 | 1                                  | 2             |
| 10   | 2   | 4                           | 3   | 2                                       | 6                                      | 1                                 | 1                                  | 2             |

| The Scottish<br>Housing<br>Regulator | The Scottish<br>Information<br>Commissioner | VisitScotland | Total |
|--------------------------------------|---|---------------|-------|
| 0                                    | 0   | 0             | 3     |
| 0                                    | 1   | 0             | 9     |
| 0                                    | 0   | 0             | 8     |
| 0                                    | 0   | 0             | 3     |
| 0                                    | 1   | 0             | 23    |
| 0                                    | 0   | 1             | 3     |
| 1                                    | 0   | 1             | 8     |
| 0                                    | 0   | 0             | 1     |
| 0                                    | 0   | 0             | 1     |
| 0                                    | 0   | 0             | 28    |
| 0                                    | 0   | 0             | 1     |
| 0                                    | 0   | 0             | 3     |
| 1                                    | 0   | 2             | 45    |
| 1                                    | 1   | 2             | 68    |

## 7c. SG&DA - CROSS-BORDER PUBLIC AUTHORITY CASES

| Stage            | Outcome Group  | Criminal<br>Injuries<br>Compensation<br>Authority | Forestry and<br>Land Scotland | Total |
|------------------|--|---|-------------------------------|-------|
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 0   | 1                             | 1     |
|                  | Discretion – Good complaint handling                                 | 1   | 0                             | 1     |
|                  | Total  | 1   | 1                             | 2     |
| Total            |  | 1   | 1                             | 2     |

## 8. SCOTTISH PRISON SERVICE CASES DETERMINED

| Stage            | Outcome Group  | HM<br>Inspectorate Of<br>Prisons For<br>Scotland | Scottish Prison<br>Service | Total |
|------------------|--|--|----------------------------|-------|
| Advice           | A&G - Complaint submissions - mature                                 | 0  | 7                          | 7     |
|                  | A&G - Complaint submissions - premature                              | 0  | 47                         | 47    |
|                  | A&G - Enquiries  | 0  | 91                         | 91    |
|                  | Unable to proceed  | 0  | 1                          | 1     |
|                  | Total  | 0  | 146                        | 146   |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 0  | 41                         | 41    |
| •                | Discretion – Alternative action proposed                             | 0  | 5                          | 5     |
|                  | Discretion – Alternative route used or available                     | 0  | 2                          | 2     |
|                  | Discretion – Good complaint handling                                 | 1  | 81                         | 82    |
|                  | Discretion – Referred back   | 0  | 13                         | 13    |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 0  | 4                          | 4     |
|                  | Premature  | 0  | 7                          | 7     |
|                  | Subject matter not in jurisdiction                                   | 0  | 1                          | 1     |
|                  | Unable to proceed  | 0  | 5                          | 5     |
|                  | Total  | 1  | 159                        | 160   |
| Total            |  | 1  | 305                        | 306   |

## 9. SCOTTISH UNIVERSITY CASES DETERMINED

| Stage            | Outcome Group  | A University<br>Unknown | Edinburgh<br>Napier<br>University | Glasgow<br>Caledonian<br>University |
|------------------|--|-------------------------|-----------------------------------|-------------------------------------|
| Advice           | A&G - Complaint submissions - mature                                 | 1                       | 0                                 | 2                                   |
|                  | A&G - Complaint submissions - premature                              | 2                       | 1                                 | 3                                   |
|                  | A&G - Enquiries  | 2                       | 0                                 | 0                                   |
|                  | Total  | 5                       | 1                                 | 5                                   |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 0                       | 0                                 | 1                                   |
|                  | Discretion – Alternative route used or available                     | 0                       | 0                                 | 0                                   |
|                  | Discretion – Good complaint handling                                 | 0                       | 8                                 | 4                                   |
|                  | Discretion – Referred back   | 0                       | 1                                 | 0                                   |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 0                       | 0                                 | 0                                   |
|                  | Premature  | 0                       | 0                                 | 0                                   |
|                  | Right of appeal to court/tribunal/Scottish ministers (s 7 (8))       | 0                       | 0                                 | 0                                   |
|                  | Subject matter not in jurisdiction                                   | 0                       | 0                                 | 1                                   |
|                  | Time limit (s 10)  | 0                       | 0                                 | 0                                   |
|                  | Unable to proceed  | 0                       | 0                                 | 1                                   |
|                  | Total  | 0                       | 9                                 | 7                                   |
| Investigation    | Fully upheld   | 0                       | 0                                 | 0                                   |
|                  | Some upheld  | 0                       | 0                                 | 0                                   |
|                  | Total  | 0                       | 0                                 | 0                                   |
| Total            |  | 5                       | 10                                | 12                                  |

| Glasgow<br>School of Art | Heriot-Watt<br>University | Queen Margaret<br>University | Scotland's<br>Rural<br>University<br>College | The Robert<br>Gordon<br>University | University of<br>Aberdeen | University of<br>Dundee | University of<br>Edinburgh | University of<br>Glasgow |
|--------------------------|---------------------------|------------------------------|--|------------------------------------|---------------------------|-------------------------|----------------------------|--------------------------|
| 0                        | 1                         | 0                            | 0  | 1                                  | 3                         | 1                       | 3                          | 4                        |
| 0                        | 2                         | 0                            | 0  | 1                                  | 2                         | 1                       | 8                          | 9                        |
| 0                        | 1                         | 0                            | 0  | 1                                  | 3                         | 0                       | 2                          | 4                        |
| 0                        | 4                         | 0                            | 0  | 3                                  | 8                         | 2                       | 13                         | 17                       |
| 0                        | 1                         | 1                            | 0  | 3                                  | 5                         | 1                       | 17                         | /                        |
| 0                        | 0                         | V                            | 0  | 0                                  | 1                         | 0                       | 0                          | 0                        |
| 0                        | 9                         | _                            | 1  | 5                                  | 6                         | 3                       | 32                         | 28                       |
| 0                        | 0                         |                              | 0  | 0                                  | 1                         | 0                       | 0                          | 0                        |
| 0                        | 0                         |                              | 0  | 0                                  | 1                         | 0                       | 0                          | 0                        |
| 0                        | 0                         |                              | 0  | 0                                  | 0                         | 0                       | 2                          | 0                        |
| 0                        | 2                         | ·                            | 0  | 0                                  | 0                         | 0                       | 1                          | 2                        |
| 1                        |                           | 0                            | 0  | 0                                  | 0                         | 0                       | 4                          |                          |
| 0                        | 0                         | 0                            | 0  | 1                                  | 1                         | 0                       | 2                          | 0                        |
| 1                        | 13                        | 4                            | 1  | 9                                  | 17                        | 4                       | 58                         | 38                       |
| 0                        | 0                         | 0                            | 0  | 0                                  | 0                         | 1                       | 1                          | 0                        |
| 0                        | 0                         |                              | 0  | 0                                  | 1                         | 0                       | 0                          | 1                        |
| 0                        | 0                         | 0                            | 0  | 0                                  | 1                         | 1                       | 1                          | 1                        |
| 1                        | 17                        | 4                            | 1  | 12                                 | 26                        | 7                       | 72                         | 56                       |

| University of St<br>Andrews | University of<br>Stirling | University of<br>Strathclyde | University of the Highlands and Islands | University of<br>the West of<br>Scotland | Total |
|-----------------------------|---------------------------|------------------------------|---|--|-------|
| 0                           | 2                         | 1                            | 1                                       | 1  | 21    |
| 1                           | 3                         | 1                            | 0                                       | 3  | 37    |
| 0                           | 0                         | 0                            | 0                                       | 0  | 13    |
| 1                           | 5                         | 2                            | 1                                       | 4  | 71    |
| 0                           | 5                         | 10                           | 1                                       | 2  | 54    |
| 0                           | 0                         | 0                            | 0                                       | 0  | 1     |
| 8                           | 5                         | 10                           | 0                                       | 2  | 124   |
| 0                           | 0                         | 0                            | 1                                       | 1  | 4     |
| 0                           | 0                         | 0                            | 0                                       | 0  | 2     |
| 0                           | 1                         | 0                            | 0                                       | 0  | 2     |
| 0                           | 0                         | 0                            | 0                                       | 0  | 2     |
| 0                           | 0                         | 0                            | 0                                       | 1  | 7     |
| 0                           | 0                         | 2                            | 0                                       | 0  | 9     |
| 0                           | 1                         | 1                            | 0                                       | 1  | 8     |
| 8                           | 12                        | 23                           | 2                                       | 7  | 213   |
| 0                           | 0                         | 0                            | 0                                       | 0  | 2     |
| 0                           | 0                         | 0                            | 0                                       | 0  | 2     |
| 0                           | 0                         | 0                            | 0                                       | 0  | 4     |
| 9                           | 17                        | 25                           | 3                                       | 11                                       | 288   |

## 10. WATER CASES DETERMINED

| Stage            | Outcome Group  | Bright Water<br>Services Ltd | Business<br>Stream | Castle Water<br>Ltd |
|------------------|--|------------------------------|--------------------|---------------------|
| Advice           | A&G - Complaint submissions - mature                                 | 0                            | 2                  | 0                   |
|                  | A&G - Complaint submissions - premature                              | 0                            | 17                 | 1                   |
|                  | A&G - Enquiries  | 1                            | 3                  | 0                   |
|                  | Total  | 1                            | 22                 | 1                   |
| Early Resolution | Discretion – Insufficient benefit would be achieved by investigation | 0                            | 2                  | 2                   |
|                  | Discretion – Alternative action proposed                             | 0                            | 0                  | 0                   |
|                  | Discretion – Alternative route used or available                     | 0                            | 0                  | 0                   |
|                  | Discretion – Good complaint handling                                 | 0                            | 0                  | 2                   |
|                  | Discretion – Referred back   | 0                            | 1                  | 0                   |
|                  | Discretion – Resolved - both parties satisfied with proposed outcome | 0                            | 2                  | 0                   |
|                  | Unable to proceed  | 0                            | 2                  | 0                   |
|                  | Total  | 0                            | 7                  | 4                   |
| Total            | •  | 1                            | 29                 | 5                   |

|                |                     | Intelligent           |                |                       |              |            |      |       |
|----------------|---------------------|-----------------------|----------------|-----------------------|--------------|------------|------|-------|
| Clear Business |                     | <b>Business Water</b> |                |                       | SES Business | Water Plus |      |       |
| Water          | <b>Everflow Ltd</b> | Ltd                   | Pure Utilities | <b>Scottish Water</b> | Water        | Select Ltd | Wave | Total |
| 0              | 1                   | 0                     | 0              | 1                     | 0            | 0          | 1    | 5     |
| 10             | 0                   | 1                     | 2              | 9                     | 0            | 1          | 0    | 41    |
| 3              | 0                   | 0                     | 0              | 3                     | 0            | 0          | 1    | 11    |
| 13             | 1                   | 1                     | 2              | 13                    | 0            | 1          | 2    | 57    |
| 2              | 0                   | 0                     | 0              | 1                     | 0            | 0          | 1    | 8     |
| 0              | 1                   | 0                     | 0              | 1                     | 0            | 1          | 0    | 3     |
| 0              | 0                   | 0                     | 0              | 3                     | 0            | 0          | 0    | 3     |
| 2              | 1                   | 0                     | 3              | 5                     | 0            | 0          | 0    | 13    |
| 0              | 0                   | 0                     | 0              | 0                     | 0            | 1          | 0    | 2     |
| 0              | 0                   | 0                     | 0              | 0                     | 0            | 0          | 0    | 2     |
| 0              | 0                   | 0                     | 0              | 0                     | 1            | 1          | 0    | 4     |
| 4              | 2                   | 0                     | 3              | 10                    | 1            | 3          | 1    | 35    |
| 17             | 3                   | 1                     | 5              | 23                    | 1            | 4          | 3    | 92    |

## 11. OTHER CASES DETERMINED

|                  |   | A Body Out of | A Body  |       |
|------------------|---|---------------|---------|-------|
| Stage            | Outcome Group                           | Jurisdiction  | Unknown | Total |
| Advice           | A&G - Complaint submissions - mature    | 0             | 2       | 2     |
|                  | A&G - Complaint submissions - premature | 2             | 28      | 30    |
|                  | A&G - Enquiries                         | 0             | 32      | 32    |
|                  | Organisation not in jurisdiction        | 14            | 4       | 18    |
|                  | Unable to proceed                       | 0             | 1       | 1     |
|                  | Total                                   | 16            | 67      | 83    |
| Early Resolution | Organisation not in jurisdiction        | 2             | 2       | 4     |
|                  | Total                                   | 2             | 2       | 4     |
| Total            | Total                                   |               |         | 87    |